

Aim Mazur's thm: for every  $E/\mathbb{Q}$ ,

$$E(\mathbb{Q})_{\text{tors}} \simeq \begin{cases} \mathbb{Z}/N\mathbb{Z} & N = 1, 2, 3, \dots, 10, 12 \\ \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2N\mathbb{Z} & N = 1, 2, 3, 4 \end{cases}$$

Main step: if  $p \mid \# E(\mathbb{Q})_{\text{tors}}$ , then  $p < 11$

If  $E/\mathbb{Q}$  is s.t.  $E(\mathbb{Q})_{\text{tors}} \ni P$  of order  $p$ , then

[E] gives a point in  $Y_1(p)(\mathbb{Q})$ .

So, reformulation:  $Y_1(p)(\mathbb{Q}) = \emptyset \quad \forall p > 7$ , or equivalently,

$X_1(p)(\mathbb{Q})$  consists of cusp points.

We now discuss how this is done for  $p = 11, 13, 17, 37$ , and then in general.

$p=11$   $X_{11}(11)$  is an elliptic curve. We've carried out a 5-descent to show that its rank is zero. This implies that  $X_{11}(11)(\mathbb{Q})$  is finite; we found the 5 pts it has, and they are all cusps. Great!

$p=13$   $X_1(13)$  is a curve of genus 2. Denote by  $J_1(13)$  its Jacobian (Davide R.'s talk). By the Mordell-Weil thm (Roberto),  $J_1(13)(\mathbb{Q}) \simeq \mathbb{Z}^r \oplus T$ ,  $T$  torsion. One can show (we will!) that  $r=0$ . Assume this for the moment.

Let me pause for a moment to recall some facts about the modular curve  $X_1(13)$ .

① There is a map  $X_1(13) \rightarrow X_0(13)$ . It is a Galois cover, with group  $(\mathbb{Z}/13\mathbb{Z})^\times / \{\pm 1\} \simeq \mathbb{Z}/6\mathbb{Z}$ .



This Galois group acts via the "diamond operators"  $\square$   
constructed in Lorenzo's talk.

② Cusps: in general, for a mod. curve, one has  $\mathbb{H}/\Gamma$  with  
 $\Gamma \supset \Gamma(n)$ , one has

$$\text{cusps } (\mathbb{H}/\Gamma) = A \backslash \text{SL}_2(\mathbb{Z}/n\mathbb{Z})/\bar{\Gamma}$$

A =  $\langle \pm \begin{pmatrix} 1 & 0 \\ * & 1 \end{pmatrix} \rangle$

$$= \{ v = (x, y) \in (\mathbb{Z}/n\mathbb{Z})^2 \text{ of order } n \} / \{ \pm 1 \} \quad \text{img of } \Gamma \text{ in } \text{SL}_2(\mathbb{Z}/n\mathbb{Z})$$

It is an iso of sets with Gal action if we let  $G_{\mathbb{Q}}$   
act on  $(x, y)$  by  $\sigma \circ (x, y) = (x_{\sigma}, y)$ .

For  $X_1(13)$ :  $(x, y) \cdot \begin{pmatrix} 1 & \alpha \\ 0 & 1 \end{pmatrix} = (x, \alpha x + y)$ , so the orbits  
 are  $\cdot (\pm x, *)$  (6 of them) def over  $\mathbb{Q}(S_{13})^+$   
 $\cdot (0, \pm y)$  (6 of them) def. over  $\mathbb{Q}$

$\sim \circ \sim$

Ok: suppose  $E/\mathbb{Q}$  has a pt of order 13. I claim that  
 $E$  has bad mult. reduction at 3. Indeed, there are  
 3 cases: good red., bad multipl., and bad additive.  
 (Sebastiano)

1. Sia  $N$  un gruppo finito e sia  $N$  un sottogruppo normale di  $G$ , abeliano e di indice  $p$ . Dimostrare che se  $p$  non divide  $|N| \cdot (|N| - 1)$ , allora il centro di  $G$  è non banale.

2. Sia  $G$  un gruppo di ordine  $n$  e  $p$  un primo tale che  $p \nmid n - 1$ . Sia  $\phi$  un automorfismo di  $G$  di ordine  $p$ . Dimostrare che  $\phi$  ammette almeno un punto fisso diverso dal'identità.

Esercizio 2.

(i) If  $E$  has good red., then (Andrea, Sebastiano, Pietro) L3  
 $P \subset E(\mathbb{F}_3)$ , but  $\#E(\mathbb{F}_3) \leq 1 + 3 + 2\sqrt{3} < 13$ ,  $\emptyset$

(ii) If  $E$  has bad additive red., let  $\mathcal{E}$  be the Néron model.  
 $P \in E(\mathbb{Q}) = \mathcal{E}(\mathbb{Z})$  still injects mod 3, but  $\#\mathcal{E}(\mathbb{F}_3) = 3 \cdot \#\mathcal{E}/\mathcal{E}^\circ$   
and  $\#\mathcal{E}/\mathcal{E}^\circ \leq 4$ ,  $\emptyset$

(iii) Hence,  $E$  has multipl. red. at 3, ~~that is to say~~ and in particular  
(Sebastiano's talk)  $v_3(j) < 0$ . In other words, the pt on  
 $X_1(13)$  corresp. to  $E$  reduces to a cusp mod 3

Rmk This argument works for any prime  $p > 7$ . 

Now we observe that  $X_1(13)(\mathbb{F}_3)$  contains 6 cusps (the images  
of the 6 cusps defined over  $\mathbb{Q}$ ), because  $\mathbb{F}_3(S_{13} + S_{13}^{-1}) \neq \mathbb{F}_3$ .  
Moreover, the diamond operators  $\begin{pmatrix} a & b \\ 13c & d \end{pmatrix}$  act on these  
cusps as  $(0, y) \cdot \begin{pmatrix} a & b \\ 13c & d \end{pmatrix} = (0, dy)$ , so they permute  
them transitively. We can then assume (replacing  $E \in X_1(13)(\mathbb{Q})$  with  
 $\langle d \rangle E \in X_1(13)(\mathbb{Q})$  if necessary) that  $E \equiv \infty \pmod{3}$ .

Consider  $(E) - (\infty) \in J_1(13)(\mathbb{Q})$ .  $\leftarrow$  torsion! By inj. of  
reduction,  $(E) - (\infty) \equiv 0 \pmod{3}$  (that is, in  $J_1(13)(\mathbb{F}_3)$ )  
gives  $(E) - (\infty) = 0$  in  $J_1(13)(\mathbb{Q})$ , hence  $E = \infty$ , contradiction!

$p=17$  Suppose  $E/\mathbb{Q}$  has a 17-torsion pt. Let  $x \in X_1(17)(\mathbb{Q})$   
be the corresp. rat. pt and  $y = \pi(x) \in X_0(17)(\mathbb{Q})$ .  
We don't want to think too much about the cusps of  $X_1(p)$ :  
those of  $X_0(p)$  are much easier, since they are simply the  
two orbits ~~of  $(1, 0)$  and~~ of  $(1, 0)$  (which contains all  $(a, b)$   
with  $a \neq 0$ ) and of  $(0, 1)$  (which contains all  $(0, b)$  with  $b \neq 0$ )

Moreover, they are both defined over  $\mathbb{Q}$ , and there is a ("Fricke") involution  $w_p : X_0(p) \rightarrow X_0(p)$  that exchanges them.

Quick aside: the Fricke involution. It's represented by  $(\begin{smallmatrix} & -1 \\ N & \end{smallmatrix})$ ,

which normalises  $\Gamma_0(N)$  by direct computation:

$$\begin{aligned} \left(\begin{smallmatrix} & -1 \\ N & \end{smallmatrix}\right) \left(\begin{smallmatrix} a & b \\ cN & d \end{smallmatrix}\right) \left(\begin{smallmatrix} & -1 \\ N & \end{smallmatrix}\right)^{-1} &= \left(\begin{smallmatrix} -cN & -d \\ aN & bN \end{smallmatrix}\right) \cdot \frac{1}{N} \left(\begin{smallmatrix} & -1 \\ N & \end{smallmatrix}\right) \\ &= \frac{1}{N} \left(\begin{smallmatrix} -dN & cN \\ bN^2 & -aN \end{smallmatrix}\right) = \left(\begin{smallmatrix} -d & c \\ bN & -a \end{smallmatrix}\right) \quad [\text{Not really necessary...}] \end{aligned}$$

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As before,  $x \equiv \text{cusp } (3)$ , so  $y \equiv \text{cusp } (3)$ . Assume that  $J_0(17)(\mathbb{Q}) \simeq \mathbb{Z}^r \oplus T$  has rank  $r=0$ . Then, as before,  $(y) - (\infty)$  or  $(y) - (0)$  is torsion and reduces to  $0 \pmod{3}$ , hence (inj. of red.)  $y=0$  or  $\infty$ , and  $x$  is a cusp,  $\mathfrak{f}$ . This strategy works as long as  $g(J_0(p)) \geq 1$ , which happens for all  $p \geq 13$ , and  $\text{rk } J_0(p)(\mathbb{Q}) = 0$ . The problem is the second condition.

[ $p=37$ ] Very briefly, let me mention that  $J_0(37) \sim E_1 \times E_2$  with  $\text{rk } E_1(\mathbb{Q}) = 0$ ,  $\text{rk } E_2(\mathbb{Q}) = 1$ , so sad!

Sia  $f(x) = x^6 + 2x^3 - 8$ . Determinare il grado del campo di spezzamento di  $f(x)$  su  $\mathbb{F}_{17^n}$  al variare di  $n \geq 1$ , e su  $\mathbb{F}_{17^n}$  al variare di  $n \geq 1$ .  
Esercizio 4.

Cognoome e nome: .....

However, note that at least we have a non-trivial quotient of  $J_0(37)$  that has  $\text{rk } 0$ .

# Mazur's strategy

L5

① Prove a theorem of the following general shape:

Thm A Let  $N > 7$  be a prime. Suppose there exists an ab. var.  $A/\mathbb{Q}$  and a map  $f: X_0(N) \rightarrow A$  (def. over  $\mathbb{Q}$ ) s.t.

(i)  $A(\mathbb{Q})$  is finite

(ii)  $f(0) \neq f(\infty)$

(iii)  $A$  has good red. away from  $N$  (automatic?).

Then no elliptic curve  $E/\mathbb{Q}$  has a pt of order  $p$ .

② Prove a criterion that guarantees that an ab. var.  $/\mathbb{Q}$  has rank zero:

Thm B Let  $A/\mathbb{Q}$  be an ab. var.,  $N \neq p$  be prime numbers.

Suppose that:

- $A$  has good red. away from  $N$

- $A$  has totally toric red. at  $N$

- the Jordan-Hölder constituents of  $A[p^3](\bar{\mathbb{Q}})$  are 1-diml, and either trivial or cyclotomic.

Then  $A(\mathbb{Q})$  has rk 0.

③ Construct an  $A$  that satisfies the conditions of Thm A+B.

A map  $X_0(N) \rightarrow A$  necessarily factors via  $J_0(N)$ ,

so we're asking for a quotient of  $J_0(N)$ . There is a Hecke alg.  $T$  acting on  $J_0(N)$ : we construct  $A$  as

$J_0(N)/I \cdot J_0(N)$  for a suitable ideal  $I$  of  $T$ .

There are (at least) two reasonable choices: the Eisenstein quotient of Mazur and the winding quotient of Merel.

## Comments

[6]

I won't say anything about Thm B, but I want to comment on steps 1 and 3. In particular, I've stated Thm A as in Snowden's course, but I don't think it's the most natural version. Let's think back to the special cases.

If  $x \in X, (N)(\mathbb{Q})$  is a non-cuspidal pt, we have  $x \equiv \text{cusp}(\gamma)$ .  
The idea would be to consider  $y := \pi(x) \in X_0(N)$  and observe that  $(f(y)) - (f(\infty)) \in A(\mathbb{Q})$  reduces to 0 mod 3, so  $f(y) = f(\infty)$ : the natural condition would be "f injective". Mazur introduces a notion of "formal immersion".

Def A map of schemes  $f: X \rightarrow Y$  is a FORMAL IMMERSION at  $x \in X$  if  $\hat{\mathcal{O}}_{Y, f(x)} \rightarrow \hat{\mathcal{O}}_{X, x}$  is surjective.  $(*)$

Key Lemma Let  $X$  be separated,  $f: X \rightarrow Y$  a formal immersion at  $x \in X$ . Let  $T$  be an integral Noetherian scheme and suppose that two points  $p_1, p_2 \in X(T)$  satisfy  $p_1(t) = p_2(t) = x$  for some  $t \in T$  AND  $f(p_1) = f(p_2)$ . Then  $p_1 = p_2$ .

Translation  $f: X_0(N) \rightarrow A$  a formal immersion at  $\infty$ .

$$\begin{array}{ccc} & \backslash & / \\ & \text{Spec } \mathbb{Z}_{(3)} & = T \end{array}$$

If  $y \in X_0(N)(\mathbb{Z}_{(3)})$  and  $\infty \in X_0(N)(\mathbb{Z}_{(3)})$  satisfy

- $y \equiv \infty (\gamma)$
- $f(y) = f(\infty)$

then  $y = \infty$ . In particular, Mazur states a version of Thm A that has the assumption "f is a formal immersion" instead of " $f(0) \neq f(w)$ ".

The second comment concerns the Eisenstein quotient vs winding quotient question. The Eisenstein quotient is hard to define, so we skip that for now (one needs to understand  $\text{Spec } \mathbb{T}$ , essentially). The winding quotient is much easier.

Let  $c_{\text{wind}}$  be the path  $0 \rightarrow \infty$ , seen as an element of  $H_1(X_0(N), \mathbb{Q})$ . Define  $I_{\text{wind}} = \text{Ann}_{\mathbb{T}}(c_{\text{wind}})$  and  $J_{\text{wind}} := J_0(N) / I_{\text{wind}} J_0(N)$ .

Now  $J_0(N) \sim \prod_{[f] \in S_2(\Gamma_0(N))} A_f$ , and

$$L(A_f, s) = L(f, s) = 2\pi \int_0^\infty f(it) t^{s-1} dt = 0$$

$\Leftrightarrow "f \in \text{Ann}_{\mathbb{T}}(c_{\text{wind}})"$ , so that

$I_{\text{wind}} = \prod_{\substack{[f] \in S_2(\Gamma_0(N)) \\ \text{s.t. } L(A_f, s) \neq 0}} A_f$ . Now Kolyvagin-Logachev tell us

that  $\text{rk } A_f(\mathbb{Q}) = 0$  for such  $f$ .

Using the winding quotient, Merel + Parent prove the uniform boundedness conjecture:

Thm (Merel 1996, Parent 1999, Oesterlé 1994)

Let  $K$  be a nb field of degree  $d$ ,  $E/K$  an ell. curve and  $P \in E(K)$  a pt of order  $p^n$ . Then

- $p \leq (3^{d/2} + 1)^2$  (~~Merel~~ Oesterlé)

- $p \leq d^{3d^2}$  (Merel)

- $p^n \leq 65 \cdot (3^d - 1) (2d)^6$   $p \neq 2, 3$  (Parent)

In case I have time: beginning of the proof of Thm A. [8]

The point is the following:

Thm (3 in Snowden's lecture 18)

Suppose  $A, f$  are as in the statement,  $E/\mathbb{Q}$  w/ a pt of order  $N$

Then  $E[N] \cong \mathbb{Z}/N\mathbb{Z} \oplus \mu_N$  (key pt: split extension!)

Sketch of proof of Thm A

Start with  $E = E_1$ . There's a  $\mu_N$  quotient out and set

$E_2 = E_1/\mu_N$ ,  $P_2 \in \pi_1: E_1 \rightarrow E_1/\mu_N = E_2$ , and  $P_2 = \pi_1(P)$

It's still a pt of order  $N$ . Continue like this to build

$$E_1 \rightarrow E_2 \rightarrow E_3 \rightarrow \dots \rightarrow E_j \rightarrow \dots$$

Now,  $\# X_1(N)(\mathbb{Q})$  is finite (b/c  $f^{-1}A(\mathbb{Q})$  is), so

$\exists i < k$  st  $j(E_i) = j(E_k)$ . In fact,  $E_i \xrightarrow{\sim} E_k$  over  $\mathbb{Q}$ , because  $X_1(N)$  is rigid. Hence,  $E_i \xleftarrow{\sim} E_k$  gives an automorphism endomorphism  $\varphi$  of  $E_i$  of deg.  $p^s$ . But  $\text{End}(E_i) = \mathbb{Z} \oplus E_i/\mathbb{Q}$ , so  $\varphi = [pr]$  for some  $r$ .

However,  $\varphi = [pr]$  kills  $P_i$ , while  $E_i \xleftarrow{\sim} E_k$  does not.  $\square$

(\*) Perhaps I should add that by Nakayama this is equivalent to the conjunction of

(i)  $k(x) = k(f(x))$

(ii)  $f^*: \text{Cot}_{f(x)} Y \rightarrow \text{Cot}_x X$  is onto.