

Cohomological Hall algebras,

Their representations, and

Nakajima operators

Plan:

1. Geometric representations of quantum groups
2. COHAs and their representations
3. Nakajima type operators
4. Examples

1. Geometric Representations of Quantum Groups

Let us recall the construction of an action of the **elliptic Hall algebra** on the K-theory of **Hilbert schemes of pts** on a smooth surface.

Definition of the elliptic Hall algebra

$$U_{q_1, q_2}(\widehat{\mathfrak{gl}}(1)) = \mathbb{C}(q_1, q_2) \langle e_n, f_n, h_m^\pm \rangle_{n \in \mathbb{Z}, m \in \mathbb{N}} / \text{rels}$$

where the relations for $e(z) := \sum_{n \in \mathbb{Z}} e_n z^{-n}$, $f(z) := \sum_{n \in \mathbb{Z}} f_n z^{-n}$, and $h^\pm(z) := \sum_{m > 0} h_m^\pm z^{-m}$ are

quadratic relations $\left\{ \begin{array}{l} e(z)e(w)\tilde{\mathcal{S}}\left(\frac{w}{z}\right) = e(w)e(z)\tilde{\mathcal{S}}\left(\frac{z}{w}\right) \\ h^\pm(z)e(w) = e(z)h^\pm(w)\frac{\tilde{\mathcal{S}}\left(\frac{z}{w}\right)}{\tilde{\mathcal{S}}\left(\frac{w}{z}\right)} \end{array} \right.$

with $\tilde{\mathcal{S}}(t) = (1-tq_1q_2)(1-t^{-1}q_1q_2) \frac{(1-tq_1)(1-tq_2)}{(1-tq_1q_2)(1-t)}$

zeta function of an elliptic curve

similar rels for $f(z) \longleftrightarrow e(w)$, commutator relation $[e(z), f(w)]$, and

$$\left\{ \begin{array}{l} \sum_{\sigma \in S_3} \left[e_{n_{\sigma(1)}}, \left[e_{n_{\sigma(2)-1}}, e_{n_{\sigma(3)+1}} \right] \right] = 0 \quad \forall n_1, n_2, n_3 \in \mathbb{Z} \\ \text{cubic rels} \quad \left[f_{n_{\sigma(1)}}, \left[f_{n_{\sigma(2)-1}}, f_{n_{\sigma(3)+1}} \right] \right] = 0 \quad \forall n_1, n_2, n_3 \in \mathbb{Z} \end{array} \right.$$

Now, S = smooth quasi-projective surface/ \mathbb{C} .

$Hilb(S)$ = the Hilbert scheme of pts on S
 $=$ moduli space of ideal sheaves I
 $\text{of zero-dimensional schemes of } S.$
 \uparrow_{sub}

Consider the Hecke correspondence modifying
sheaves at 1 pt:

\mathcal{L} = tautological line bundle
|
|
|
|

$$\left\{ (I', I, \alpha) : 0 \rightarrow I' \rightarrow I \rightarrow O_x \rightarrow 0 \right\}$$

$$\begin{array}{ccc} & \searrow ev_1 & \downarrow ev_3 & \swarrow ev_2 \\ Hilb(S) & & S & & Hilb(S) \end{array}$$

$$ev_1 : (I', I, \alpha) \mapsto I', ev_2 : (I', I, \alpha) \mapsto I, ev_3 : (I', I, \alpha) \mapsto \alpha$$

Now, fix $S = \mathbb{C}^2 \cap \mathbb{C}^* \times \mathbb{C}^*$. Set

$K_o^{\mathbb{C}^* \times \mathbb{C}^*}(-) := \mathbb{C}^* \times \mathbb{C}^*$ -equivariant (compl.) Grothendieck group of coherent sheaves

$$\implies K_o^{\mathbb{C}^* \times \mathbb{C}^*}(pt) \simeq \mathbb{C}[q_1^{\pm 1}, q_2^{\pm 1}]$$

Theorem (Schiffmann-Vasserot)

The elements of

$$\text{End}\left(K_o^{\mathbb{C}^* \times \mathbb{C}^*}(Hilb(S)) \otimes_{K_o^{\mathbb{C}^* \times \mathbb{C}^*}(pt)} \mathbb{C}(q_1, q_2)\right)$$

defined by

- $e_n := (1-q_1)(1-q_2) ev_{1*} (ev_2^*(-) \cdot \mathcal{L}^{\otimes n})$
- $f_n := (1-q_1)(1-q_2) ev_{2*} (ev_1^*(-) \cdot \mathcal{L}^{\otimes n-1} \cdot (-\det(\mathcal{U})))$
- $h^\pm(z) = \sum_{m \geq 0} h_m^\pm z^{-m}$ = multiplication by $\Lambda(z(q_1 q_2 - 1) \hat{\mathcal{U}})$

give rise to an action of $U_{q_1, q_2}(\widehat{\mathfrak{gl}}(1))$.

Here, \mathcal{U} = restriction of the universal sheaf of $\mathrm{Hilb}(\mathbb{C}^2)$ to $\mathrm{Hilb}(\mathbb{C}^2) \times \{\text{origin}\}$.

Remark

Neguț: \exists actions of $U_{q_1, q_2}(\widehat{\mathfrak{gl}}(1))$ on the K-theory of $\mathrm{Hilb}(S)$ for S projective, and

► $\mathcal{M}_H^{st}(r, c_1)$ = moduli space of Gieseker H-stable sheaves of rank r , first Chern class c_1 on S

where S is such that either $\omega_S \cong \mathcal{O}_S$ or $c_*(\omega_S) \cdot H < 0$, and
 $\text{g.c.d.}(r, c_1 \cdot H) = 1$

(Attention Δ : in this construction, $q_1 q_2 = [\omega_S] \in K_0(S)$)

Remark

Mellit-Minets-Schiffmann-Vasserot: prove a similar result in
Borel-Moore homology and for S (quasi-)projective

\implies Key ingredient in the proof of the $P=W$ conj.
by Hausel-Mellit-Minets-Schiffmann

Remark

The elliptic Hall algebra $U_{q_1, q_2}(\widehat{\mathfrak{gl}}(1))$ = quantum loop algebra
of

$\textcolor{orange}{Q}$ = one-loop quiver

Negut-S-Schiffmann: $\textcolor{blue}{Q} = (\text{I} = \{\text{vertices}\}, \text{E} = \{\text{edges}\})$

$\implies U_{\textcolor{blue}{Q}}$ = quantum loop algebra of $\textcolor{blue}{Q}$

$$= \mathbb{C}(q, q_e)_{e \in E} \langle e_{i,n}, f_{i,n}, h_{i,m}^\pm \rangle_{i \in I, n \in \mathbb{Z}, m \in \mathbb{N}/\text{rels}}$$

where rel.s = quadratic and cubic relations depending on $\tilde{\mathcal{S}}_Q(t)$ and commutator rel.

$$\hookrightarrow \tilde{\mathcal{S}}_{\text{one-loop}} = \tilde{\mathcal{S}} \text{ seen before}$$

Example

Q = affine ADE quiver $\rightsquigarrow V_Q$ = quantum toroidal algebra
of type ADE

Q = quiver without edge-loops $\rightsquigarrow V_Q$ = "usual" quantum loop
algebra of Q

The geometric operators e_n, f_n, h_m^\pm defined before
= generalization of Nakajima's operators which give rise
to an action of V_Q on K^*_o (quiver varieties).

Now, consider

S = smooth projective surface/ \mathbb{C} , $D \hookrightarrow S$ effective
divisor such that

D_{red} = affine ADE configuration Q of
rational (-2)-curves C_k

Goal of Today's Talk:

Theorem (Diaconescu-Porta-S-Zhao)

\exists a moduli stack \mathcal{M} such that its K-theory admits an action of $U_{\mathbb{Q}}$ via operators depending on Hecke correspondences modifying sheaves along C_k

$$\left\{ 0 \longrightarrow \mathcal{E}' \longrightarrow \mathcal{E} \longrightarrow i_* \mathcal{O}_{C_k}(-_1) \longrightarrow 0 \right\}$$

In the remaining part of the talk, I will define \mathcal{M} and the Nakajima type operators in this context.

The construction follows from the theory of COHAS and their representations.

2. COHA of surfaces and their representations

$S =$ smooth projective surface/ \mathbb{C} .

$Coh(S)$ = moduli stack of coherent sheaves on S

$RCoh(S)$ = derived enhancement of $Coh(S)$

Consider the "convolution diagram":

$$\underline{\mathsf{ICoh}}(S) \times \underline{\mathsf{ICoh}}(S) \xleftarrow{\text{ev}_1 \times \text{ev}_3} \underline{\mathsf{ICoh}}^{\text{ext}}(S) \xrightarrow{\text{ev}_2} \underline{\mathsf{ICoh}}(S)$$

where: └ = stack of extensions

where:

$$ev_2: 0 \longrightarrow E_1 \longrightarrow E_2 \longrightarrow E_3 \longrightarrow 0 \longmapsto E_2$$

$$ev_1 \times ev_3: \underline{\hspace{10em}} \dashv \longmapsto (E_1, E_3)$$

- ev_2 is proper representable
 - $ev_1 \times ev_3$ is derived Ici (i.e., the cotangent complex $\mathbb{L}_{ev_1 \times ev_3}$ is perfect of amplitude $[-1, 1]$)

Theorem (Kapranov-Vasserot, Yu Zhao for o-dim. sheaves)

$K_0(\underline{\text{Coh}}(S))$ has the structure of an associative algebra, whose product is given by:

$$m : K_0(\underline{\text{Coh}}(S)) \times K_0(\underline{\text{Coh}}(S)) \xrightarrow{\quad \boxtimes \quad} \\ K_0(\underline{\text{Coh}}(S) \times \underline{\text{Coh}}(S)) \xrightarrow{(ev_2)_* \circ (ev_1 \times ev_3)^*} K_0(\underline{\text{Coh}}(S))$$

\implies k -theoretical Hall algebra $KHA(S)$ of S

Remark

By replacing $K_0(\underline{\text{Coh}}(S))$ with

- ▶ $H_*^{\text{BM}}(\underline{\text{Coh}}(S)) = \text{Borel-Moore homology of } \underline{\text{Coh}}(S)$
 $\implies \text{Cohomological Hall algebra of } S$
- ▶ $D_{\text{coh}}^b(\underline{\text{ICoh}}(S)) \xrightarrow[\text{(Porta-S.)}]{} \text{Categorified Hall algebra of } S$

Remark

▶ The Thms hold also for

- S only quasi-proj.

- $\underline{\text{Coh}}_{\text{ps}}(S) = \text{moduli stack of properly supported sheaves on } S$

▶ \exists an equivariant version of the Thms w.r.t.

$$T = \text{torus} \curvearrowright S \rightsquigarrow T \curvearrowright \underline{\text{Coh}}_{\text{ps}}(S)$$

▶ if \mathcal{T} is a Serre subcategory of $\underline{\text{Coh}}_{\text{ps}}(S)$ such that
 the moduli stack $\underline{\text{ICoh}}_{\mathcal{T}}(S)$ of objects in \mathcal{T} is open in
 $\underline{\text{ICoh}}_{\text{ps}}(S)$, then \exists

$\text{KHA}_{\mathcal{T}}(S)$ = K-Theoretical Hall algebra of \mathcal{T}

For example,

$$-\mathcal{T} = \text{Coh}_0(S) = \left\{ E \in \text{Coh}_{ps}(S) : \dim \text{supp}(E) = 0 \right\} \subset \text{Coh}_{ps}(S)$$

$$-\mathcal{T} = \text{Coh}_{\leq 1}(S) = \left\{ E \in \text{Coh}_{ps}(S) : \dim \text{supp}(E) \leq 1 \right\} \subset \text{Coh}_{ps}(S)$$

Now, I will describe how to construct repr.s of $\text{KHA}_{\mathcal{T}}(S)$.

From now on, assume that S is projective.

Fix a torsion pair $v = (\mathcal{T}, \mathcal{F})$ of $\text{Coh}(S)$, i.e.,

- $\text{Hom}(T, F) = 0 \quad \forall T \in \mathcal{T}, F \in \mathcal{F};$
- $\forall E \exists 0 \longrightarrow \underset{\mathcal{T}}{\overset{\circ}{T}} \longrightarrow \underset{\mathcal{F}}{\overset{\circ}{E}} \longrightarrow F \longrightarrow 0$

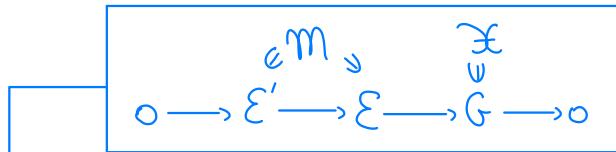
such that

- \mathcal{T} is a Serre subcategory, and
- The moduli stacks $\underline{\text{RCoh}}_{\mathcal{T}}(S)$ and $\underline{\text{RCoh}}_{\mathcal{F}}(S)$ are open in $\underline{\text{RCoh}}(S)$.

Fix a stack $\mathcal{M} \subseteq \underline{\text{IRCoh}}_f(S)$. Set $\mathcal{X} := \underline{\text{IRCoh}}_T(S)$.

Goal: define left or right action of $\text{KA}_T(S)$ on $K_o(\mathcal{M})$
 \implies Nakajima type operators will be defined via these actions

Consider



$$\begin{array}{ccccc} \mathcal{M} \times \mathcal{X} & \xleftarrow{ev_1^M \times ev_3^X} & \underline{\text{IRCoh}}_{m,m,\mathcal{X}}^{\text{ext}}(S) & \xrightarrow{ev_2^M} & \mathcal{M} \\ \downarrow & & \downarrow & & \downarrow \\ \underline{\text{IRCoh}}(S) \times \underline{\text{IRCoh}}(S) & \xleftarrow{ev_1 \times ev_3} & \underline{\text{IRCoh}}^{\text{ext}}(S) & \xrightarrow{ev_2} & \underline{\text{IRCoh}}(S) \end{array}$$

If (RM) : $\exists (ev_2^M)_* \circ (ev_1^M \times ev_3^X)^*$

$\implies K_o(\mathcal{M})$ is a right $\text{KHA}_T(S)$ -module

If we exchange role of $ev_1 \longleftrightarrow ev_2$:

If (LM) : $\exists (ev_1^M)_* \circ (ev_3^X \times ev_2^M)^*$

$\implies K_o(\mathcal{M})$ is a left $\text{KHA}_T(S)$ -module

Attention: $K_0(M)$ is NOT a bimodule of $\text{KHA}_T(S)$.

Definition

We say that

- M is a right Hecke pattern for \mathfrak{X} if it is open and

$$\underline{\text{ICoh}}_{m,m,\mathfrak{X}}^{\text{ext}}(S) \simeq \underline{\text{ICoh}}_{\cdot,m,\mathfrak{X}}^{\text{ext}}(S)$$

- M is a left Hecke pattern for \mathfrak{X} if it is open and

$$\underline{\text{ICoh}}_{m,m,\mathfrak{X}}^{\text{ext}}(S) \simeq \underline{\text{ICoh}}_{m,\cdot,\mathfrak{X}}^{\text{ext}}(S)$$

- M is a 2-sided Hecke pattern for \mathfrak{X} if

$$\underline{\text{ICoh}}_{\cdot,m,\mathfrak{X}}^{\text{ext}}(S) \simeq \underline{\text{ICoh}}_{m,m,\mathfrak{X}}^{\text{ext}}(S) \simeq \underline{\text{ICoh}}_{m,\cdot,\mathfrak{X}}^{\text{ext}}(S)$$

Proposition

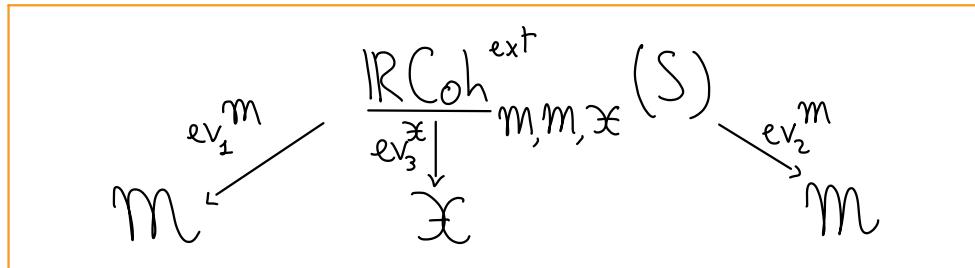
If M is $\begin{cases} \text{a left HP} \\ \text{a right HP} \\ \text{a 2-sided HP} \end{cases}$ $\Rightarrow \begin{cases} (\text{LM}) \text{ holds.} \\ (\text{RM}) \text{ holds.} \\ (\text{LM}) \text{ and } (\text{RM}) \text{ hold.} \end{cases}$

Attention !

We can consider Hecke patterns for $\mathfrak{X} \in \text{IRCoh}_{\mathcal{T}}^{\text{ext}}(S)$
 \implies consider repr.s of the subalgebra of $\text{KHA}_{\mathcal{T}}$
generated by $K_0(\mathfrak{X})$.

3. Nakajima type operators

Fix a 2-sided Hecke pattern M for \mathfrak{X} . Consider



Definition

We define the Nakajima operators:

$$e := (ev_2^M)_* \circ (ev_1^M \times ev_3^X)^* \circ \otimes \quad \text{and} \quad f := (ev_1^M)_* \circ (ev_3^X \times ev_2^M)^* \circ \otimes$$

4. Examples of 2-sided HP for 0-dimensional sheaves

In this case, we can choose $\mathfrak{X} =$

- $\mathrm{IR}\underline{\mathrm{Coh}}_0(S)$, or ↳ derived enh. of S
- $\mathrm{IR}\underline{\mathrm{Coh}}_0(S; \text{length} = 1) \simeq \tilde{S} \times [\mathrm{pt}/\mathbb{C}^*]$

and we can choose $\mathcal{M} =$

- $\underline{\mathrm{Hilb}}(S) = \text{Hilbert stack of pts of } S \simeq \mathrm{Hilb}(S) \times [\mathrm{pt}/\mathbb{C}^*]$, or
- $\underline{\mathcal{M}}_H^{st}(r, c_1)$

\implies Nakajima type operators e and f for $\tilde{S} \times [\mathrm{pt}/\mathbb{C}^*]$ decompose, w.r.t. the \mathbb{C}^* -action, as

$$e = \bigoplus_{n \in \mathbb{Z}} e_n \quad \text{and} \quad f = \bigoplus_{n \in \mathbb{Z}} f_n$$

= Schiffmann-Vasserot and Negut's ops e_n and f_n

5. Example of 2-sided HP for 1-dimensional sheaves

Fix an effective divisor $D \hookrightarrow S$, an ample divisor $H \subset S$, and $\alpha \in \mathbb{Q}$.

Let $\text{Coh}_{\alpha}^{(s)s}(S) = \left\{ E \in \text{Coh}_{\leq 1}(S) : E \text{ is H-(semi)stable of slope } \alpha \right\}$

$= \frac{\chi(-)}{H \cdot c_1(-)}$

Definition

A subcategory $\chi \subset \text{Coh}_{\alpha}^s(S)$ is **admissible** if

- $E \in \chi$ is scheme-theoretically supported on D
- $\mu_{H-\max}(E \otimes \mathcal{O}_S(D)) \leq \alpha \leq \mu_{H-\min}(E \otimes \mathcal{O}_S(-D)) \quad \forall E \in \chi$

We assume that the corresponding moduli stack \mathfrak{X} is open and closed in $\text{R}\mathcal{G}\text{h}_{\alpha}^{ss}(S)$.

Example

Let B be a smooth projective curve and let $S \xrightarrow{\pi} B$ be a smooth projective elliptic surface, which admits a section.

Let D be a singular fiber such that $D_{\text{red}} = \text{affine ADE configuration } Q$ of rational (-2)-curves C_k .

Then, for any $d \in \mathbb{Z}$, $\left\{ i_* \mathcal{O}_{C_k}(d) \right\}_k \subset \text{Coh}_{\alpha}^s(S)$ is admissible
depending on d and $H \cdot C_k$

Going back to the general setting, fix
 $\mathcal{M} \subseteq$ subcategory of $\underline{\text{Coh}}_{\text{f.f.}}(S)$ consisting of locally free sheaves F on S such that

$$\mu_{H-\max}(i_* i^* F \otimes \mathcal{O}_S(D)) \leq \alpha \leq \mu_{H-\min}(i_* i^* F)$$

Remark: $\mathcal{M} \subseteq \underline{\text{RCoh}}_{\text{f.f.}}(S)$ is open.

Theorem

\mathcal{M} is a 2-sided Hecke pattern for \mathfrak{X} .

$\implies \exists$ Nakajima type operators for \mathfrak{X} acting on the K-theory of \mathcal{M}

Our result can be now reformulated as:

Theorem (Diaconescu-Porta-S.-Y. Zhao)

Let $\pi: S \longrightarrow B$ be a smooth projective elliptic surface which admits a section.

Let $D \subset S$ be a singular fiber such that $D_{\text{red}} = \text{affine ADE}$

configuration Q of (-2) -rational curves C_k (with $Q \neq A_1^{(2)}$).

Let $\chi = \{i_* \mathcal{O}_{C_k}(-1)\} \subset \text{Coh}_0^s(S)$. Then, the Nakajima type operators for χ give rise to an action of U_Q on $K_0(M)$.

Remark

► This provides a K-theoretical version of the paper "Langlands reciprocity for algebraic surfaces" by Ginzburg-Kapranov-Vasserot

► If instead of U_Q , one considers the Lie algebra g_Q^{KM} Nakajima and Yoshioka constructed actions

$$g_Q^{KM} \curvearrowright H^* \left(\begin{array}{l} \text{moduli space of} \\ \text{Gieseker/Simpson stable} \\ \text{sheaves on } S \end{array} \right)$$

► Our framework works also when D = smooth projective curve of genus ≥ 1 with $D^2 < 0$
⇒ current goal: compute the relations in this case.

6.2-Sided Hecke patterns are rare for $\mathfrak{X} := \underline{\mathrm{ICoh}}_{\leq 1}(S)$

For example, set $\mathcal{M} := \underline{\mathrm{ICoh}}_{\text{f.f.}}(S)$. Then

► a subsheaf of a torsion-free sheaf is torsion-free

$$\implies \underline{\mathrm{ICoh}}^{\text{ext}}_{\cdot, m, \mathfrak{X}}(S) \simeq \underline{\mathrm{ICoh}}^{\text{ext}}_{m, m, \mathfrak{X}}(S)$$

$\implies \mathcal{M}$ is a right Hecke pattern for \mathfrak{X}

BUT

► an extension between a torsion and a torsion-free sheaves is **not** torsion-free:

$$0 \longrightarrow E_1 \xrightarrow{\text{f.f.}} G \longrightarrow E_3 \xrightarrow{\text{torsion}} 0 \neq G = \text{f.f.}$$

i.e., $\underline{\mathrm{ICoh}}^{\text{ext}}_{m, \cdot, \mathfrak{X}}(S) \neq \underline{\mathrm{ICoh}}^{\text{ext}}_{m, m, \mathfrak{X}}(S)$

i.e., \mathcal{M} is **not** a left Hecke pattern for \mathfrak{X}

However, we are able to prove:

Theorem (Diaconescu-Porta-S.)

$K_0(\mathbb{R}\underline{\text{Coh}}_{\text{f.f.}}(S))$ is a left and right module of $\text{KHA}_{\leq 1}(S)$.

Remark

The Thm holds for any $M \subseteq \mathbb{R}\underline{\text{Coh}}_{\text{f.f.}}(S)$ which is either a left or right Hecke pattern for \mathcal{X} .

\implies This approach enlarges the family of possible moduli spaces and stacks for which we can define Nakajima type operators.

The proof follows from this observation: we can "rotate"

$$0 \longrightarrow E_1 \longrightarrow G \longrightarrow E_3 \longrightarrow 0$$

↑ f.f. ↑ Torsion

in $D^b(\text{Coh}(S))$:

$$E_3 \longrightarrow E_1[1] \longrightarrow G$$

This triangle is a short exact sequence in the tilted heart:

$$D^b(\text{Coh}(S))^{\heartsuit\tau} = \left\{ E \in D^b(\text{Coh}(S)): \mathcal{H}^i(E) = \begin{array}{l} \text{f.f.} \\ \text{Torsion} \end{array}, \quad \forall i \neq -1, 0 \right\}$$

$$\mathcal{H}^0(E) = \text{Torsion}, \quad \mathcal{H}^i(E) = 0 \quad \forall i \neq -1, 0$$

Attention Δ :

$(\text{Coh}_{\text{f.f.}}(S)[1], \text{Coh}_{\leq 1}(S))$ is a torsion pair of $D^b(\text{Coh}(S))^{\heartsuit\tau}$

In particular,

$$E_3 \longrightarrow E_1[1] \longrightarrow G \implies G = E_2[1] \quad \text{with } E_2 = \text{f.f.}$$

↑ Torsion ↑ f.f. [1]

$$\text{i.e., } \underline{\text{IRCoh}}_{\mathcal{M}, \mathcal{M}, \mathfrak{X}}^{\text{ext}}(S) \xrightarrow{\text{"rotation"}} \underline{\text{IRCoh}}_{\mathfrak{X}, \mathcal{M}[], \mathcal{M}[]}^{\text{ext}}(S; \heartsuit_{\tau})$$

$$\simeq \underline{\text{IRCoh}}_{\mathfrak{X}, \mathcal{M}[]}^{\text{ext}}(S; \heartsuit_{\tau})$$

i.e., \mathcal{M} is a right Hecke pattern for \mathfrak{X} in the tilted heart.