

Cohomological Hall algebras,
their representations, and Nakajima operators

1. Cohomological Hall algebras of surfaces

$S =$ smooth projective surface $/ \mathbb{C}$.

$\underline{\text{Coh}}(S)$ = moduli stack of coherent sheaves on S

Attention \triangle : we work within the framework of
Derived Algebraic Geometry

$\implies \underline{\text{RCoh}}(S)$ = derived enhancement of $\underline{\text{Coh}}(S)$

Construction of COHA of S :

Consider the "convolution diagram":

$$\underline{\text{RCoh}}(S) \times \underline{\text{RCoh}}(S) \xleftarrow{\text{ev}_1 \times \text{ev}_3} \underline{\text{RCoh}}^{\text{ext}}(S) \xrightarrow{\text{ev}_2} \underline{\text{RCoh}}(S)$$

where:

\perp = stack of extensions

$$\begin{array}{ccccccc} \text{ev}_2: & 0 & \longrightarrow & E_1 & \longrightarrow & E_2 & \longrightarrow & E_3 & \longrightarrow & 0 & \longmapsto & E_2 \\ \text{ev}_1 \times \text{ev}_3: & & & & \parallel & & & & & & \longmapsto & (E_1, E_3) \end{array}$$

- ▶ ev_2 is proper representable
- ▶ $ev_1 \times ev_3$ is derived lci (i.e., the cotangent complex $\mathbb{L}_{ev_1 \times ev_3}$ is perfect of amplitude $[-1, 1]$)

Theorem

- ▶ Kapranov-Vasserot (Yu Zhao for 0-dim. sheaves):

$$H_*^{BM}(\underline{\text{Coh}}(S)) = \text{Borel-Moore homology of } \underline{\text{Coh}}(S)$$

$$\left(\text{resp. } G_0(\underline{\text{Coh}}(S)) = \text{Grothendieck group of coh. sheaves on } \underline{\text{Coh}}(S) \right)$$

has the structure of an associative algebra, whose product is given by:

$$m: H_*^{BM}(\underline{\text{Coh}}(S)) \times H_*^{BM}(\underline{\text{Coh}}(S)) \xrightarrow{\boxtimes} H_*^{BM}(\underline{\text{Coh}}(S) \times \underline{\text{Coh}}(S)) \xrightarrow{(ev_2)_* \circ (ev_1 \times ev_3)^!} H_*^{BM}(\underline{\text{Coh}}(S))$$

(and similarly for $G_0(\underline{\text{Coh}}(S))$).

\implies COHA of S (resp. K -theoretical HA of S)

► Porta-S.: $D_{\text{coh}}^b(\underline{\text{RCoh}}(S))$ has the structure of a (E_1) -monoidal dg-category, whose tensor product is given by:

$$D_{\text{coh}}^b(\underline{\text{RCoh}}(S)) \times D_{\text{coh}}^b(\underline{\text{RCoh}}(S)) \xrightarrow{m} D_{\text{coh}}^b(\underline{\text{RCoh}}(S))$$

where $m = ((\text{ev}_2)_* \circ (\text{ev}_1 \times \text{ev}_3)^*) \circ \boxtimes$

⇒ Categorical HA of S

Remark

► The Thm holds also for
- S only quasi-proj.

- $\underline{\text{Coh}}_{\text{ps}}(S)$ = moduli stack of properly supported sheaves on S

► \exists an equivariant version of the Thm w.r.t.

$$T = \text{torus} \curvearrowright S \rightsquigarrow T \curvearrowright \underline{\text{Coh}}_{\text{ps}}(S)$$

► Note that $D_{\text{coh}}^b(\underline{\text{IRCoH}}(S)) \neq D_{\text{coh}}^b(\underline{\text{CoH}}(S))$


Moreover, $\not\cong$ Cat HA over $D_{\text{coh}}^b(\underline{\text{CoH}}(S))$

\implies "categorification" requires DAG

Notation: in the following, some results hold for

$$H_*^{\text{BM}}(-), G_0(-), D_{\text{coh}}^b(-) \rightsquigarrow H(-)$$

Similarly, $\text{HA}(-)$ denotes $\text{COHA}(-), \text{KHA}(-), \text{CatHA}(-)$

Attention : One of the main goals in the theory of COHAs is to obtain an explicit characterization of the algebra by **GENERATORS** and **RELATIONS**.

This has been achieved for "smaller" COHAs.
Note that if

► $\mathcal{T} \subset \text{Coh}_{\text{ps}}(S)$ is a Serre subcategory, and

► the corresponding moduli stack

$$\underline{\text{RCoh}}_{\tau}(S)$$

is open in $\underline{\text{RCoh}}_{\text{ps}}(S)$. Then

$$\exists \text{COHA}_{\tau}(S), \text{KHA}_{\tau}(S), \text{CatHA}_{\tau}(S)$$

For example,

$$\bullet \tau = \text{Coh}_0(S) = \{0\text{-dimensional sheaves on } S\} \subset \text{Coh}_{\text{ps}}(S)$$

$$\bullet \underline{\text{RCoh}}_{\tau}(S) = \underline{\text{RCoh}}_0(S) = \text{derived moduli stack of } 0\text{-dimensional sheaves on } S$$

$$\implies \text{COHA}_0(S), \text{KHA}_0(S), \text{CatHA}_0(S)$$

In this case, we have a full understanding of the algebraic structure of these algebras.

Indeed, the first result concerns $S = \mathbb{C}^2 \hookrightarrow \mathbb{C}^* \times \mathbb{C}^*$:

Theorem (Schiffmann-Vasserot)

$$\mathbb{C}^* \times \mathbb{C}^* \curvearrowright \mathbb{C}^2:$$

$$\begin{cases} \text{COHA}_0^{\mathbb{C}^* \times \mathbb{C}^*, \text{sph}}(\mathbb{C}^2) \simeq Y_{\varepsilon_1, \varepsilon_2}^+(\widehat{\mathfrak{gl}}(\pm)) = (\text{pos. part of affine Yangian} \\ \text{of } \widehat{\mathfrak{gl}}(\pm)) \\ \text{COHA}_0^{\mathbb{C}^* \times \mathbb{C}^*}(\mathbb{C}^2) \simeq \text{COHA}_0^{\mathbb{C}^* \times \mathbb{C}^*, \text{sph}}(\mathbb{C}^2) \end{cases}$$

↑
given by generators
and relations

$$\begin{cases} \text{KHA}_0^{\mathbb{C}^* \times \mathbb{C}^*, \text{sph}}(\mathbb{C}^2) \simeq U_{q,t}^+(\widehat{\mathfrak{gl}}(\pm)) \simeq \mathcal{E}^+ = (\text{pos. part of elliptic} \\ \text{Hall algebra}) \\ \text{KHA}_0^{\mathbb{C}^* \times \mathbb{C}^*}(\mathbb{C}^2) \simeq \text{KHA}_0^{\mathbb{C}^* \times \mathbb{C}^*, \text{sph}}(\mathbb{C}^2) \end{cases}$$

↓

Here, $\text{HA}_0^{\text{sph}}(S)$ is the subalgebra generated by $H(\text{RCoh}_0(S; \pm)$
length 1 ↗

For an arbitrary smooth surface S (with pure cohomology), we have:

Thm (Mellit-Mineets-Schiffmann-Vasserot for $H_{*,*}^{\text{BM}}$, Negut_S for G_0)
Consider $S \curvearrowright T$ (it could be trivial)

$$\begin{cases} \text{COHA}_0^{\overline{T}, \text{sph}}(S) \simeq (\text{pos. part of}) \text{ Yangian of } S \end{cases}$$

$$\text{COHA}_0^{\tau}(S) \approx \text{COHA}_0^{\tau, \text{sph}}(S)$$

- $\text{KHA}_0^{\text{sph}}(S) \approx$ (pos. part of) elliptic Hall algebra of S

Important

The proof follows by working at the level of representations of $\text{HA}_0(S)$ and using Nakajima type operators to compute the relations.

2. Representations

Let us explain the main ideas to construct representations.

Fix a torsion pair $v = (\mathcal{T}, \mathcal{F})$ of $\text{Coh}(S)$, i.e., ^{projective}

- $\text{Hom}(\mathcal{T}, \mathcal{F}) = 0 \quad \forall T \in \mathcal{T}, F \in \mathcal{F};$
- $\forall E \exists 0 \rightarrow \underset{\cong \mathcal{T}}{T} \rightarrow E \rightarrow \underset{\cong \mathcal{F}}{F} \rightarrow 0$

such that the corresponding moduli stacks

$$\text{IR}\underline{\text{Coh}}_{\mathcal{T}}(S) \text{ and } \text{IR}\underline{\text{Coh}}_{\mathcal{F}}(S)$$

are open in $\text{IR}\underline{\text{Coh}}(S)$.

Fix $\mathcal{X} \subset \mathcal{T}$ and $\mathcal{M} \subset \mathcal{F}$ such that the corresponding moduli stacks $\mathfrak{X} \in \text{IRCoh}_{\mathcal{T}}(S)$ and $\mathfrak{M} \in \text{IRCoh}_{\mathcal{F}}(S)$ are open.

Consider the induced diagram:

$$\begin{array}{ccccc}
 \mathfrak{X} \times \mathfrak{X} & \xleftarrow{\text{ev}_1^{\mathfrak{X}} \times \text{ev}_3^{\mathfrak{X}}} & \text{IRCoh}_{\mathfrak{X}, \mathfrak{X}, \mathfrak{X}}^{\text{ext}}(S) & \xrightarrow{\text{ev}_2^{\mathfrak{X}}} & \mathfrak{X} \\
 \downarrow & & \downarrow & & \downarrow \\
 \text{IRCoh}(S) \times \text{IRCoh}(S) & \xleftarrow{\text{ev}_1 \times \text{ev}_3} & \text{IRCoh}^{\text{ext}}(S) & \xrightarrow{\text{ev}_2} & \text{IRCoh}(S)
 \end{array}$$

Our hope is that

$$\exists (\text{ev}_2^{\mathfrak{X}})_* \circ (\text{ev}_1^{\mathfrak{X}} \times \text{ev}_3^{\mathfrak{X}})! \circ \boxtimes$$

such that $H(\mathfrak{X})$ is an associative algebra

(A)

Example: $\mathcal{T} = \text{Coh}_o(S)$, $\mathfrak{X} = \text{IRCoh}_{\mathcal{T}}(S) = \text{IRCoh}_o(S)$

Since \mathcal{T} is Serre, the squares are cartesian \implies (A) holds

Assume that (A) holds with \mathfrak{X} closed in $\underline{\text{RCoh}}(S)$.
 We want to construct left and right representations of $HA(\mathfrak{X})$ via $H(\mathcal{M})$.

Consider the induced diagram:

$$\begin{array}{ccccc}
 \mathcal{M} \times \mathfrak{X} & \xleftarrow{\text{ev}_1^{\mathcal{M}} \times \text{ev}_3^{\mathfrak{X}}} & \underline{\text{RCoh}}_{\mathcal{M}, \mathcal{M}, \mathfrak{X}}^{\text{ext}}(S) & \xrightarrow{\text{ev}_2^{\mathcal{M}}} & \mathcal{M} \\
 \downarrow & & \downarrow & & \downarrow \\
 \underline{\text{RCoh}}(S) \times \underline{\text{RCoh}}(S) & \xleftarrow{\text{ev}_1 \times \text{ev}_3} & \underline{\text{RCoh}}^{\text{ext}}(S) & \xrightarrow{\text{ev}_2} & \underline{\text{RCoh}}(S)
 \end{array}$$

Our hope is that

$$\exists (\text{ev}_2^{\mathcal{M}})_* \circ (\text{ev}_1^{\mathcal{M}} \times \text{ev}_3^{\mathfrak{X}})! \circ \boxtimes$$

such that $H(\mathcal{M})$ is a right $HA(\mathfrak{X})$ -module

(RM)

$$\exists (\text{ev}_1^{\mathcal{M}})_* \circ (\text{ev}_3^{\mathfrak{X}} \times \text{ev}_2^{\mathcal{M}})! \circ \boxtimes$$

such that $H(\mathcal{M})$ is a left $HA(\mathfrak{X})$ -module

(LM)

Attention: $H(\mathcal{M})$ is **NOT** a bimodule of $HA(\mathcal{X})$.

Important: (RM) and (LM) holds if the categories \mathcal{X} and \mathcal{M} satisfy "right/left Hecke pattern property".

Consider a s.e.s.

$$0 \rightarrow E_1 \rightarrow E_2 \rightarrow E_3 \rightarrow 0 \quad (\ast)$$

$\begin{matrix} \mathcal{F} \\ \mathcal{F} \\ \mathcal{T} \end{matrix}$

Def.

► \mathcal{M} is a **right HP** for \mathcal{X} if for any s.e.s. (\ast)

$$E_3 \in \mathcal{X}, E_2 \in \mathcal{M} \implies E_1 \in \mathcal{M}$$

► \mathcal{M} is a **left** _____ (\ast)

$$E_3 \in \mathcal{X}, E_1 \in \mathcal{M} \implies E_2 \in \mathcal{M}$$

► \mathcal{M} is a **2-sided** _____ if it is both a left and right Hecke pattern.

Examples of 2-sided HP for $\mathcal{X} = \text{RCoh}_0(S)$

- ▶ $\mathcal{M} = \underline{\text{Hilb}}(S) = \text{Hilbert stack of pts of } S$
 $\simeq \text{Hilb}(S) \times \text{pt}/\mathbb{C}^*$
- ▶ Fix H ample divisor, $r \geq 1$, $c_1 \in \text{NS}(S)$ with $\gcd(r, c_1 \cdot H) = 1$.
 $\mathcal{M} = \underline{\text{RCoh}}^{H-S}(S; r, c_1)$.

In order to determine the algebraic structure of $\text{HA}_0(S)$

- ▶ **1st step:** to work at the level of the left/right module $H(\underline{\text{Hilb}}(S))$.
- ▶ **2nd Step:** to consider Nakajima type operators.

3. Nakajima operators

- ▶ $\mathcal{X} = S \times \text{pt}/\mathbb{C}^* \stackrel{\text{length } 1}{=} \text{RCoh}_0(S; 1) \hookrightarrow \underline{\text{RCoh}}_d(S; 1) \simeq \tilde{S} \times \text{pt}/\mathbb{C}^*$
- ▶ $\mathcal{M} = \text{Hilb}(S)$, $\mathcal{U} = \text{universal sheaf on } \mathcal{M} \times S$

We have:

$$\begin{array}{ccc}
 \mathcal{M} \times \mathcal{X} & \xleftarrow{ev_2^{\mathcal{M}} \times ev_3^{\mathcal{X}}} & \frac{IRCoh^{ext}}{SI}_{\mathcal{M}, \mathcal{M}, \mathcal{X}}(S) \xrightarrow{ev_2^{\mathcal{X}}} \mathcal{M} \\
 & \nwarrow & \\
 & & IP(\mathcal{U}^{\vee} \otimes \omega_S[1]) \\
 \\
 \mathcal{X} \times \mathcal{M} & \xleftarrow{ev_3^{\mathcal{X}} \times ev_2^{\mathcal{M}}} & \frac{IRCoh^{ext}}{SI}_{\mathcal{M}, \mathcal{M}, \mathcal{X}}(S) \xrightarrow{ev_1^{\mathcal{M}}} \mathcal{M} \\
 & \nwarrow & \\
 & & IP(\mathcal{U})
 \end{array}$$

Def. (Schiffmann-Vasserot, Negut_s)

We define the Nakajima operators :

$$\begin{aligned}
 e_d &:= (ev_2^{\mathcal{M}})_* \left((ev_{\pm}^{\mathcal{M}} \times ev_3^{\mathcal{X}})^! \left((-) \boxtimes (-) \otimes \chi_d \right) \right) \\
 f_d &:= (ev_{\pm}^{\mathcal{M}})_* \left((ev_3^{\mathcal{X}} \times ev_{\pm}^{\mathcal{M}})^! \left((-) \otimes \chi_d \right) \boxtimes (-) \right)
 \end{aligned}$$

as operators $H(\mathcal{M}) \otimes H(S) \longrightarrow H(\mathcal{M})$
 $H(S) \otimes H(\mathcal{M}) \longrightarrow H(\mathcal{M})$

respectively.

Important: because of the appearance of $\mathbb{P}(-)$, one can explicitly compute relations.

4. What can we say beyond $HA_0(S)$?

Fix

$$\begin{cases} \mathcal{T} = \text{Coh}_{\leq 1}(S) := \{F \in \text{Coh}(S) : \dim(\text{supp}(F)) \leq 1\} \\ \mathcal{F} = \text{Coh}_{\text{t.f.}}(S) := \{\text{torsion free sheaves on } S\} \end{cases}$$

Note that

- ▶ \mathcal{T} is a Serre subcategory,
- ▶ $\text{IR}\underline{\text{Coh}}_{\mathcal{T}}(S) := \text{IR}\underline{\text{Coh}}_{\leq 1}(S)$ and $\text{IR}\underline{\text{Coh}}_{\mathcal{F}}(S) := \text{IR}\underline{\text{Coh}}_{\text{t.f.}}(S)$ are open in $\text{IR}\underline{\text{Coh}}(S)$,
- ▶ $\text{IR}\underline{\text{Coh}}_{\leq 1}(S)$ is also closed in $\text{IR}\underline{\text{Coh}}(S)$.

$\Rightarrow \exists HA_{\leq 1}(S) =$ associative algebra structure on $H(\text{IR}\underline{\text{Coh}}_{\leq 1}(S))$

Goal: construct representations of $HA_{\leq 1}(S)$.

Attention: 2-sided HPs for $\text{Coh}_{\leq 1}(S)$ are rare (do not exist?)

Note that

$$0 \longrightarrow E_1 \longrightarrow E_2 \longrightarrow E_3 \longrightarrow 0 \quad \text{in } \text{Coh}(S)$$

$$\text{if } E_3 \in \mathcal{T}, E_2 \in \mathcal{F} \implies E_1 \in \mathcal{F}$$

$$\implies \mathcal{F} \text{ right HP for } \mathcal{T} \text{ in } \text{Coh}(S)$$

but

$$0 \longrightarrow E_1 \longrightarrow E_2 \longrightarrow E_3 \longrightarrow 0 \quad \text{in } \text{Coh}(S)$$

$$\text{if } E_3 \in \mathcal{T}, E_1 \in \mathcal{F} \not\implies E_2 \in \mathcal{F}$$

$$\implies \mathcal{F} \text{ not left HP for } \mathcal{T} \text{ in } \text{Coh}(S)$$

On the other hand, we can "rotate" in $\mathcal{D}^b(\text{Coh}(S))$

$$E_3 \longrightarrow E_1[1] \longrightarrow G$$

This triangle is a short exact sequence in the tilted heart:

$$\mathcal{D}^b(\text{Coh}(S))^{\heartsuit_{\tau}} = \left\{ E \in \mathcal{D}^b(\text{Coh}(S)) : \mathcal{H}^i(E) \in \mathcal{F}, \mathcal{H}^0(E) \in \mathcal{T}, \right. \\ \left. \mathcal{H}^i(E) = 0 \forall i \neq -1, 0 \right\}$$

and $(\mathcal{F}[1], \mathcal{T})$ torsion pair of $\mathcal{D}^b(\text{Coh}(S))^{\heartsuit_{\tau}}$

Moreover,

because $\mathcal{F}[1]$ is torsion

$$\text{if } E_3 \in \mathcal{T}, E_1 \in \mathcal{F} \implies G = E_2[1] \in \mathcal{F}[1]$$

i.e., \mathcal{F} is a right HP for \mathcal{T} in the tilted heart

Attention :

the use of the tilted heart "compensate" the lack of 2-sided Hecke patterns.

This observation is essential to prove:

Thm (Dziamoscu-Porta-S.)

$H(\text{IRCoh}_{\text{t.f.}}(S))$ is a left and right module over $\text{HA}_{\leq 1}(S)$.

By using this framework, we also proved:

Thm (Dzconescu-Porta-S.)

- ▶ $H(P(S))$ is a right and left module of $HA_0(S)$
- ▶ --- " --- a right module of $HA_{\leq 1}(S)$.

where

$P(S) :=$ moduli space of Pandharipande-Thomas stable pairs on S

\parallel
 $(F, s: \mathcal{O}_S \rightarrow F)$ with F pure 1-dimen.
Coker(s) 0-dimen.

Remark

We are able to prove the above theorem for any "reasonable" tuple

$(\mathcal{C} = \text{triangulated category}, \tau = t\text{-structure}, (\bar{\tau}, \mathcal{F}) = \text{torsion pair in } \mathcal{C}^{\text{op}})$

Question: What about Nakajima type operators in this case?

Fix an effective divisor D in S , an ample divisor H in S , and $\alpha \in \mathbb{Q}$.

Let

$$\text{Coh}_\alpha^{(s)s}(S) = \left\{ E \in \text{Coh}_{\leq 1}(S) : E \text{ is } H\text{- (semi) semistable of fixed slope } \alpha \right\}$$

$$\mu(-) = \frac{\chi(-)}{H \cdot \text{ch}_1(-)}$$

Definition

A subcategory $\mathcal{X} \subset \text{Coh}_\alpha^s(S)$ is **admissible** if

• any sheaf $E \in \mathcal{X}$ is scheme-theoretically supported on D

• $\mu_{H\text{-max}}(E \otimes \mathcal{O}_S(D)) \leq \alpha \leq \mu_{H\text{-min}}(E \otimes \mathcal{O}_S(-D))$

We assume that the corresponding moduli stack \mathcal{X} is open and closed in $\text{RCoh}_\alpha^{ss}(S)$.

Let $i: D \hookrightarrow S$ be the inclusion.

Let $\mathcal{M} \subset \text{Coh}_{\text{t.f.}}(S)$ be the subcategory of locally free sheaves F on S s.t.

$$\mu_{H\text{-max}}(i_* i^* F \otimes \mathcal{O}_S(D)) \leq \alpha \leq \mu_{H\text{-min}}(i_* i^* F)$$

Lemma

\mathcal{M} is a 2-sided HP for \mathcal{X} .

We have:

$$\begin{array}{ccc} \mathcal{M} \times \mathcal{X} & \xleftarrow{\text{ev}_2^{\mathcal{M}} \times \text{ev}_3^{\mathcal{X}}} & \underline{\text{RCoh}}_{\mathcal{M}, \mathcal{M}, \mathcal{X}}^{\text{ext}}(S) \xrightarrow{\text{ev}_2^{\mathcal{X}}} \mathcal{M} \\ \mathcal{X} \times \mathcal{M} & \xleftarrow{\text{ev}_3^{\mathcal{X}} \times \text{ev}_2^{\mathcal{M}}} & \underline{\text{RCoh}}_{\mathcal{M}, \mathcal{M}, \mathcal{X}}^{\text{ext}}(S) \xrightarrow{\text{ev}_1^{\mathcal{M}}} \mathcal{M} \end{array}$$

Def.

We define the Nakajima operators:

$$e := (\text{ev}_2^{\mathcal{M}})_* \left((\text{ev}_1^{\mathcal{M}} \times \text{ev}_3^{\mathcal{X}})^! \circ \boxtimes \right) \quad f := (\text{ev}_1^{\mathcal{M}})_* \left((\text{ev}_3^{\mathcal{X}} \times \text{ev}_1^{\mathcal{M}})^! \circ \boxtimes \right)$$

Furthermore, $\exists \text{BG}_m$ -action on \mathcal{X} . Thus, we have also

$$e_d, f_d \quad \text{for } d \in \mathbb{Z}$$

Remark

By combining techniques from Heil algebras together with

Negut-Yu Zhao's approach, we are able to compute relations.

In particular, we obtain:

Thm (Diaconescu-Porta-S.-Yu Zhao)

Let $\pi: S \longrightarrow B$ be a relatively minimal smooth projective elliptic surface, which admits a section.

Assume that π admits a unique singular fiber D such that D_{red} is an affine ADE configuration of (-2) -rational curves, with at least 3 irreducible components E_i .

Assume that \exists a (possibly trivial) torus T acting on S .

Let $\mathcal{X} \subset \text{Coh}_0^S(S)$ be the subcategory consisting of sheaves scheme-theoretically supported to a single irreducible component of D_{red} (i.e., of the form $\mathcal{O}_{E_i}(-1)$).

Then the action of the McKay operators associated to \mathcal{X} gives rise to an action of

- ▶ The affine Yangian of type ADE on $H_*^{\text{BM}}(\mathcal{M})$,
- ▶ The quantum toroidal algebra of type ADE on $G_0(\mathcal{M})$.

Attention:

This provides a cohomological and K-theoretical version of an old construction of Ginzburg-Kaprenov-Vasserot concerning operators arising from

Hecke modifications associated to $\mathcal{O}_{E_i}(-1)$

Remark

Our framework works also when $D =$ smooth projective curve of genus ≥ 1 and $D^2 < 0$.

Goal: compute relations in this case!