

Cohomological Hall algebras and their representations

VERSUS

Nakajima operators

1. Cohomological Hall algebras of surfaces

$S = \text{smooth projective surface}/\mathbb{C}$.

Coh(S) = moduli stack of coherent sheaves on S

Attention  : we work within the framework of
Derived Algebraic Geometry

$\implies \mathbb{R}\mathbf{Coh}(S)$ = derived enhancement of $\mathbf{Coh}(S)$

Construction of COHA of S :

Consider the "convolution diagram":

$$\underline{\text{ICoh}}(S) \times \underline{\text{ICoh}}(S) \xleftarrow{q} \underline{\text{ICoh}}^{\text{ext}}(S) \xrightarrow{P} \underline{\text{ICoh}}(S)$$

↓

where: ↓ = stack of extensions

where:

$$P = ev_2: 0 \longrightarrow E_1 \longrightarrow E_2 \longrightarrow E_3 \longrightarrow 0 \longmapsto E_2$$

$$q = ev_3 \times ev_1: \underline{\hspace{1cm}} \dashv \underline{\hspace{1cm}} \longmapsto (E_3, E_1)$$

- p is proper representable
- q is derived lci

Theorem

- Kapranov-Vasserot (Yu Zhao for 0-dim. sheaves):

$H_*^{\text{BM}}(\underline{\text{Coh}}(S))$ = Borel-Moore homology of $\underline{\text{Coh}}(S)$

(resp. $G_*(\underline{\text{Coh}}(S))$ = Grothendieck group of coh. sheaves on $\underline{\text{Coh}}(S)$)

has the structure of an associative algebra, whose product is given by:

$$m : H_*^{\text{BM}}(\underline{\text{Coh}}(S)) \times H_*^{\text{BM}}(\underline{\text{Coh}}(S)) \xrightarrow{\quad \boxtimes \quad}$$

$$H_*^{\text{BM}}(\underline{\text{Coh}}(S) \times \underline{\text{Coh}}(S)) \xrightarrow{p_* \circ q^*} H_*^{\text{BM}}(\underline{\text{Coh}}(S))$$

(and similarly for $G_*(\underline{\text{Coh}}(S))$).

⇒ COHA of S (resp. K-theoretical HA of S)

► Porta-S.: $D_{coh}^b(\underline{RCoh}(S))$ has the structure of a (\mathbb{E}_1) -monoidal dg-category, whose tensor product is given by:

$$D_{coh}^b(\underline{RCoh}(S)) \times D_{coh}^b(\underline{RCoh}(S)) \xrightarrow{m} D_{coh}^b(\underline{RCoh}(S))$$

where $m = (p_* \circ q^*) \circ \otimes$

\implies Categorified HA of S

Remark

► The Thm holds also for

- S only quasi-proj.

- $\underline{Coh}_{ps}(S) =$ moduli stack of properly supported sheaves on S

► \exists an equivariant version of the Thm w.r.t.

$$T = \text{torus} \curvearrowright S \rightsquigarrow T \curvearrowright \underline{Coh}_{ps}(S)$$

► Note that $D_{coh}^b(\underline{RCoh}(S)) \neq D_{coh}^b(\underline{Coh}(S))$

Moreover, \nexists CatHA over $D_{coh}^b(\underline{Coh}(S))$

\implies "categorification" requires DAG

► $\underline{RCoh}_o(S)$ = derived moduli stack of
0-dimensional sheaves on S

$\implies COHA_o(S), KHA_o(S), CatHA_o(S)$


it is a Serre subcategory

Theorem (Schiffmann-Vasserot)

$$\mathbb{C}^* \times \mathbb{C}^* \cong \mathbb{C}^2:$$

► $KHA_o^{\mathbb{C}^* \times \mathbb{C}^*}(\mathbb{C}^2) \cong U_{q,t}^+(\hat{gl}(z)) \cong \mathcal{E}^+ = (\text{pos. part of elliptic Hall algebra})$

► $COHA_o^{\mathbb{C}^* \times \mathbb{C}^*}(\mathbb{C}^2) \cong Y^+(\hat{gl}(z)) = (\text{pos. part of affine Yangian of } gl(z))$

Notation: in the following, some results hold for

$$H_*^{\text{BM}}(-), G_*(-), \overset{b}{D}_{\text{coh}}(-) \rightsquigarrow H(-)$$

Similarly, $\text{HA}(-)$ denotes $\text{COHA}(-), \text{KHA}(-), \text{CatHA}(-)$

2. Representations

They are essential to obtain a description of HAs by generators and relations

Let us consider again $\mathfrak{X} := \text{ICoh}_o(S)$ and $\text{HA}_o(S)$.

Construction of a geometric representation of $\text{HA}_o(S)$:

Fix $M \in \text{ICoh}(S)$.

$\text{ICoh}_{m,m,\mathfrak{X}}^{\text{ext}}(S)$ = substack of $\text{ICoh}^{\text{ext}}(S)$ consisting of

$$0 \longrightarrow E_1 \longrightarrow E_2 \longrightarrow E_3 \longrightarrow 0$$

\mathfrak{M} \mathfrak{M} \mathfrak{X}

Consider the induced convolution diagram:

$$\begin{array}{ccccc}
 \mathfrak{X} \times M & \xleftarrow{q_L} & \underline{\text{IRCoh}}_{m,m,\mathfrak{X}}^{\text{ext}}(S) & \xrightarrow{p_L} & M \\
 \downarrow & & \downarrow & & \downarrow \\
 \underline{\text{IRCoh}}(S) \times \underline{\text{IRCoh}}(S) & \xleftarrow{q} & \underline{\text{IRCoh}}^{\text{ext}}(S) & \xrightarrow{p} & \underline{\text{IRCoh}}(S)
 \end{array}$$

(L) If $\begin{cases} q_L \text{ is derived } \mathbf{I}_{\mathcal{C}_1} \\ p_L \text{ is proper} \end{cases} \implies H(M)$ is a **left** module of $\text{HA}_o(S)$ via

$$\text{HA}_o(S) \times H(M) \xrightarrow{(p_L^* \circ q_L^*) \circ \otimes} H(M)$$

Consider the induced convolution diagram:

$$M \times \mathfrak{X} \xleftarrow{q_R := ev_2 \times ev_3} \underline{\text{IRCoh}}_{m,m,\mathfrak{X}}^{\text{ext}}(S) \xrightarrow{p_R := ev_1} M$$

(R) If $\begin{cases} q_R \text{ is derived } \mathbf{I}_{\mathcal{C}_1} \\ p_R \text{ is proper} \end{cases} \implies H(M)$ is a **right** module of $\text{HA}_o(S)$ via

$$H(M) \times \text{HA}_o(S) \xrightarrow{(p_R^* \circ q_R^*) \circ \otimes} H(M)$$

Important fact:

If \mathcal{M} is a left/right Hecke pattern for \mathfrak{X}
 \implies Condition (L)/(R) holds.

Consider a s.e.s.

$$0 \longrightarrow E_1 \longrightarrow E_2 \longrightarrow E_3 \longrightarrow 0$$



with 0-dimensional sheaf E_3 , and

$E_1, E_2 \in \text{Coh}_{\geq 1}(S) = \left\{ E \in \text{Coh}(S) : E \text{ does not contain any } 0\text{-dimensional subsheaf} \right\}$

Def.

Let \mathcal{M} be a substack of $\text{ICoh}_{\geq 1}(S)$.

► \mathcal{M} is a left HP for \mathfrak{X} if for any s.e.s.

$$E_3 \in \mathfrak{X}, E_2 \in \mathcal{M} \implies E_1 \in \mathcal{M}$$

- \mathcal{M} is a right _____ // _____ 
- $E_3 \in \mathcal{X}, E_1 \in \mathcal{M} \implies E_2 \in \mathcal{M}$

- \mathcal{M} is a 2-sided _____ // _____ if it is both a left and right Hecke pattern.

Remark

If \mathcal{M} is a 2-sided HP, $H(\mathcal{M})$ is a left and right module of $HA_o(S)$.

Examples of 2-sided HP

- $\mathcal{M} = \underline{Hilb}(S) = \text{Hilbert stack of pts of } S$
 $\simeq \underline{Hilb}(S) \times_{pt} \mathbb{C}^*$
- Fix H ample divisor, $r \geq 1, c_1 \in NS(S)$ with $\gcd(r, c_1 \cdot H) = 1$.
 $\mathcal{M} = \underline{RCoh}^{H-s}(S; r, c_1).$

3. Nakajima operators

- $\mathcal{X} = S = \overset{\text{cl}}{\sim} \overset{i}{\hookleftarrow} \overset{\vartheta}{\sim} \overset{\mathcal{S} \times pt / \mathbb{C}^*}{\sim} \cong \underline{\text{RCoh}}_o(S; \mathbb{1})$
- $\mathcal{M} = \text{Hilb}(S)$, \mathcal{U} = universal sheaf on $\mathcal{M} \times S$ length 1

We have:

$$\begin{array}{ccc} \mathcal{X} \times \mathcal{M} & \xleftarrow{q_L} & \underline{\text{RCoh}}_{m, m, \mathcal{X}}^{\text{ext}}(S) \xrightarrow{P_L} \mathcal{M} \\ & \searrow \tilde{q}_L & \\ & \mathcal{IP}(\mathcal{U}^\vee \otimes \omega_S[1]) & \end{array}$$

$$\begin{array}{ccc} \mathcal{M} \times \mathcal{X} & \xleftarrow{q_R} & \underline{\text{RCoh}}_{m, m, \mathcal{X}}^{\text{ext}}(S) \xrightarrow{P_R} \mathcal{M} \\ & \searrow \tilde{q}_R & \\ & \mathcal{IP}(\mathcal{U}) & \end{array}$$

Def. (Schiffmann-Vasserot, Negut)

We define the Nakajima operators:

$$\begin{cases} \mu_d^+ := \tilde{q}_{R!} (P_R^*(-) \otimes \mathcal{O}(d)) \\ \mu_k^- := \tilde{q}_{L*} (P_L^*(-) \otimes \mathcal{O}(-k)) \end{cases} : H(\mathcal{M}) \longrightarrow H(\mathcal{M} \times S)$$

Remark

► Relation to Hall product: $\forall \mathcal{E} \in H(S)$:

$$pr_{m,*}(\mu_k^*(-) \otimes pr_S^* \mathcal{E}) = m(-, \mathcal{J}_i^*(\mathcal{E}) \otimes \mathcal{O}(k))$$

\implies negative Nakajima operators are elements in $HA_o(S)$

► $\exists \mu_{d_1, \dots, d_n}^+$ and μ_{k_1, \dots, k_m}^- defined by $Neg_{\mathbb{S}}$
 ("iterated" Nakajima operators)

Thm ($Neg_{\mathbb{S}}$ for G_o , Mellit-Minets-Schiffmann-Vasserot for H_*^{BM})
 Nakajima operators induce an action of

the elliptic Hall algebra of S on $G_o(Hilb(S))$

the affine Yangian of S on $H_*^{BM}(Hilb(S))$

Moreover, $COHA_o(S)$ is generated by $H_*^{BM}(\underline{IRCoh}_o(S; 1))$
 i.e., $COHA_o(S)$ is spherically generated.

Remark

- ▶ Negut has computed rels between categorified μ_+^+ 's
- ▶ Yu Zhao _____ " _____ μ_+^+ 's and μ_-^+ 's

4. Cohomological Hall algebras (revised)

Fix a t-structure $\tau = (\mathcal{C}^{\leq 0}, \mathcal{C}^{\geq 0})$ on

$\mathcal{C} = D(S) =$ unbounded derived category
of quasi-coh. sheaves on S

Fact: For any $A \in \text{Aff}_C$, \exists a t-structure on $\tau_A = (\mathcal{C}_A^{\leq 0}, \mathcal{C}_A^{\geq 0})$ on

$$\mathcal{C}_A := D(S \times \text{Spec } A)$$

given by

$$\mathcal{C}_A^{\leq 0} = \left\{ E \in \mathcal{C}_A : \mathbb{R}P_* E \in \mathcal{C}^{\leq 0} \right\}$$

$$\mathcal{C}_A^{\geq 0} = \left\{ E \in \mathcal{C}_A : \mathbb{R}P_* E \in \mathcal{C}^{\geq 0} \right\}$$

where $p: S \times \text{Spec } A \longrightarrow S$

Def.

Coh(S, τ) = moduli stack of families of perfect complexes
on S which are flat w.r.t. τ

i.e., $\forall A \in \text{Aff}_{\mathbb{C}}$:

$$\underline{\text{Coh}}(S, \tau)(A) = \left\{ E \in \mathcal{E}_A : E \in \text{Perf}(S \times \text{Spec } A), \right.$$

$$\left. \forall M \text{ } A\text{-module}, E \otimes_{P_A^*} M \in \mathcal{E}_A^{\heartsuit_{\tau}} \right\}$$

$$p_A : S \times \text{Spec } A \longrightarrow \text{Spec } A$$

heart of the τ -structure

Remark

- \exists a derived enhancement $\text{ICoh}(S, \tau)$ of $\text{Coh}(S, \tau)$
- $\text{ICoh}(S, \tau)$ \subset $\text{IPerf}(S)$ = derived moduli stack of perfect complexes on S

Def.

We say that τ satisfies openness of flatness if $\text{ICoh}(S, \tau)$
is an open substack of $\text{IPerf}(S)$

Prop:

$\text{ICoh}(S, \tau)$ is Artin $\Leftrightarrow \tau$ satisfies openness of flatness

Fix a t-structure τ .

Consider the "convolution diagram" as before:

$$\boxed{\underline{\mathrm{ICoh}}(S, \tau) \times \underline{\mathrm{ICoh}}(S, \tau) \xleftarrow{q_\tau} \underline{\mathrm{ICoh}}^{\mathrm{ext}}(S, \tau) \xrightarrow{p_\tau} \underline{\mathrm{ICoh}}(S, \tau)}$$

Thm (Porta-S., Diaconescu-Porta-S.)

Assume that

1. τ satisfies openness of flatness,
2. p_τ proper,
3. Serre functor S_ℓ s.t. $S_\ell[-z]$ is t-exact w.r.t. τ .

Then

- \exists a (\mathbb{E}_1) -monoidal structure on $D_{\mathrm{coh}}^b(\underline{\mathrm{ICoh}}(S, \tau))$ induced by $((p_\tau)_* \circ q_\tau^*) \circ \boxtimes$.
- $G_*(\underline{\mathrm{Coh}}(S, \tau))$ and $H_*^{\mathrm{BM}}(\underline{\mathrm{Coh}}(S, \tau))$ have the structures of unital associative algebras.

Furthermore, for any open substack \mathfrak{X} of $\underline{\mathrm{ICoh}}(S, \tau)$ s.t. $\mathfrak{X}(\mathrm{Spec} K)$ is a Serre subcategory \forall field K , then \exists induced $\mathrm{HA}_{\mathfrak{X}}(S, \tau)$.

Remark

We proved a more general version of the theorem.
For example, if

$S \rightsquigarrow$ Kuznetsov component K_U
(of a smooth cubic 4fold \mathbb{CP}^5 , Fano 3fold
of $\text{rk}(\text{Pic}) = 2$, GM variety, ...)

$\tau = t$ -structure associated to a stability condition σ

Then, Assumptions 1 and 2 hold $\Rightarrow \exists \text{ HA}(K_U, \tau)$

Examples of $\text{HA}(S, \tau)$

Fix a torsion pair $v = (\text{Tor}, \mathcal{F})$ of $\text{Coh}(S)$, i.e.,

- $\text{Hom}(T, F) = 0 \quad \forall T \in \text{Tor}, F \in \mathcal{F};$
- $\forall E \exists \begin{array}{c} 0 \longrightarrow T \longrightarrow E \longrightarrow F \longrightarrow 0 \\ \text{Tor} \qquad \qquad \qquad \mathcal{F} \end{array}$

$\Rightarrow \exists$ new t -structure τ_v such that its heart is:

$$\mathcal{C}_v^{\heartsuit} = \left\{ E \in \mathcal{C} : \mathcal{H}^i(E) \in \mathcal{F}, \mathcal{H}^i(E) \in \text{Tor}, \mathcal{H}^i(E) = 0 \forall i \neq -1, 0 \right\}$$

and $(\mathcal{F}[1], \text{Tor})$ torsion pair of $\mathcal{C}_v^{\heartsuit}$

$$\exists \underline{\text{ICoh}}_{\text{Tor}}(S), \underline{\text{ICoh}}_{\mathcal{F}}(S) \subseteq \underline{\text{ICoh}}(S), \subseteq \underline{\text{ICoh}}(S, \tau_v)$$

Def.

We say that the torsion pair $v = (\text{Tor}, \mathcal{F})$ is **open** if $\underline{\text{ICoh}}_{\text{Tor}}(S)$ and $\underline{\text{ICoh}}_{\mathcal{F}}(S)$ are open substacks of $\underline{\text{ICoh}}(S)$

Facts:

- ▶ Lieblich: τ_v satisfies openness of flatness
- ▶ DPS: PT_v is proper.

$$\implies \exists \text{HA}(S, \tau_v) \text{ associated to the tilted t-structure } \tau_v$$

Moreover,

if Tor is a Serre subcategory $\implies \exists \text{HA}_{\text{Tor}}$ associated to Tor

5. Representations (revisited)

Fix a t-structure τ on $\mathcal{C} = D(S)$.

Fix $v = (\text{Tor}, \mathcal{F})$ torsion pair of \mathcal{C}^\heartsuit = heart of τ

Note that

$$0 \longrightarrow E_1 \longrightarrow E_2 \longrightarrow E_3 \longrightarrow 0 \quad \text{in } \mathcal{C}^\heartsuit$$

if $E_3 \in \text{Tor}, E_2 \in \mathcal{F} \implies E_1 \in \mathcal{F}$

$\implies \mathcal{F}$ left HP for Tor w.r.t. τ

but

$$0 \longrightarrow E_1 \longrightarrow E_2 \longrightarrow E_3 \longrightarrow 0 \quad \text{in } \mathcal{C}^\heartsuit$$

if $E_3 \in \text{Tor}, E_1 \in \mathcal{F} \cancel{\implies} E_2 \in \mathcal{F}$

$\implies \mathcal{F}$ not right HP for Tor w.r.t. τ

On the other hand, if we "rotate":

$$0 \longrightarrow E_3 \longrightarrow E_1[1] \longrightarrow G \longrightarrow 0 \quad \text{in } \mathcal{C}_v^\heartsuit = \text{tilted heart}$$

because $\mathcal{F}[1]$ is torsion in \mathcal{C}

if $E_3 \in \text{Tor}, E_1 \in \mathcal{F} \implies G = E_2[1] \in \mathcal{F}[1]$

This observation is essential to prove:

Thm (Diaconescu-Porta-S.)

Assume that:

1. τ and τ_v satisfy openness of flatness
2. p_τ and p_{τ_v} are proper
3. Serre functor S_ℓ s.t. $S_\ell[-2]$ is τ -exact w.r.t. τ and τ_v ,
4. $\underline{\text{RCoh}}_{\text{Tor}}(S, \tau)$ and $\underline{\text{RCoh}}_{\mathcal{F}}(S, \tau)$ are open in both $\underline{\text{RCoh}}(S, \tau)$ and $\underline{\text{RCoh}}(S, \tau_v)$
5. Tor is a Serre subcategory
6. $\underline{\text{RCoh}}_{\text{Tor}}(S, \tau)$ is closed in both $\underline{\text{RCoh}}(S, \tau)$ and $\underline{\text{RCoh}}(S, \tau_v)$

Then

► $H(\underline{\text{IRCoh}}_F(\mathcal{C}, \underline{\tau}))$ is a left module of HA_{Tor}
induced by:

$$\underline{\text{IRCoh}}_{\text{Tor}}(S, \tau) \times \underline{\text{IRCoh}}_F(S, \tau) \xleftarrow{q_\tau} \underline{\text{IRCoh}}^{\text{ext}}_{F, F, \text{Tor}}(S, \tau) \xrightarrow{p_\tau} \underline{\text{IRCoh}}_F(S, \tau)$$

► $H(\underline{\text{IRCoh}}_F(\mathcal{C}, \underline{\tau}))$ is a right module of HA_{Tor}
induced by

$$\underline{\text{IRCoh}}_F(S, \tau) \times \underline{\text{IRCoh}}_{\text{Tor}}(S, \tau) \xleftarrow{q_{\tau_v}} \underline{\text{IRCoh}}^{\text{ext}}_{\text{Tor}, F[1], F[1]}(S, \tau) \xrightarrow{p_{\tau_v}} \underline{\text{IRCoh}}_F(S, \tau)$$

Attention !

the use of the tilted heart "compensate" the lack of
2-sided Hecke patterns.

Remark

The Thm holds if we replace $\underline{\text{IRCoh}}_F(S, \tau)$ by a substack

$$\mathcal{M} \subset \underline{\text{IRCoh}}_F(S, \tau)$$

Moreover, we proved a more general version of the Thm for $(\mathcal{C}, \tau, v = (\text{Tor}, \mathcal{F}))$ satisfying some natural conditions.

Examples

$$\left\{ \begin{array}{l} \text{Tor} = \text{Coh}_{\leq 1}(S) := \{ F \in \text{Coh}(S) : \dim(\text{Supp}(F)) \leq 1 \} \\ \mathcal{F} = \text{Coh}_{\text{t.f.}}(S) := \{ \text{Torsion free sheaves on } S \} \end{array} \right.$$

or $\text{Tor} = \text{Coh}_0(S)$, $\mathcal{F} = \text{Coh}_{\geq 1}(S)$

\Rightarrow We recover the examples discussed before.

Moreover, we get:

$$P(S) := \text{moduli space of} \underset{\parallel}{\text{Pandharipande-Thomas stable pairs}} \text{ on } S$$

$(F, s: O_S \longrightarrow F)$ with F pure 1-dimen.
 $\text{CoKer}(s)$ 0-dimen.

Thm (DPS)

- $H(P(S))$ is a right and left module of $HA_0(S)$
- $\underline{\quad}$ — a left module of $HA_{\leq 1}(S)$.

6. Nakajima operators (revisited)

$\mathcal{M} \in \underline{\text{RCoh}}_{\text{tf}}(S)$ a 2-sided HP for $\mathcal{X} \subseteq \underline{\text{RCoh}}_{\text{tor}}(S)$ open
 Then

$$\begin{array}{ccc}
 \mathcal{X} \times \mathcal{M} & \xleftarrow{\quad q_L \quad} & \underline{\text{RCoh}}^{\text{ext}}_{m,m,\mathcal{X}}(S) & \xrightarrow{\quad p_L \quad} & \mathcal{M} \\
 & \searrow \tilde{q}_L & \downarrow & & \\
 & & \text{IP (complex in tor-ampl. } [0,1]) & & \\
 \mathcal{M} \times \mathcal{X} & \xleftarrow{\quad q_R \quad} & \underline{\text{RCoh}}^{\text{ext}}_{m,m,\mathcal{X}}(S) & \xrightarrow{\quad p_R \quad} & \mathcal{M} \\
 & \swarrow \tilde{q}_R & \downarrow & & \\
 & & \text{IP (complex in tor-ampl. } [0,1]) & &
 \end{array}$$

$\implies p_L, p_R$ are derived \mathbb{C}_1 and \mathbb{C}^* -equiv., \tilde{q}_L, \tilde{q}_R are proper

Def.

We define Nakajima operators as:

$$\begin{cases}
 \mu_d^+ := \tilde{q}_R! \left(p_R^*(-) \otimes \mathcal{O}(d) \right) : H(\mathcal{M}) \longrightarrow H(\mathcal{M} \times \mathcal{X}) \\
 \mu_k^- := \tilde{q}_L^* \left(p_L^*(-) \otimes \mathcal{O}(-k) \right) :
 \end{cases}$$

Main example (work in progress - Diaconescu-Porta-S-Zhao)

Let $D \hookrightarrow S$ be an effective divisor.

- $\mathcal{X} \subset \underline{\text{RCoh}}_{\alpha}^{H-s}(S)$ parametrizing sheaves G scheme-theoretically supported on D such that

$$\mu_{H-\max}(G(D)) \leq \alpha \quad (\text{resp. } \mu_{H-\min}(G(-D)) \geq \alpha)$$

- $\mathcal{M} \subset \underline{\text{RCoh}}_{\text{l.f.}}(S)$ parametrizing locally free sheaves F on S with

$$\mu_{H-\max}(i_* i^* F(D)) \leq \alpha \quad (\text{resp. } \mu_{H-\min}(i_* i^* F) \geq \alpha)$$

Examples of D

- $D =$ a configuration of (-2)-curves of genus zero.
- $D =$ smooth proj. curve of genus ≥ 1 and $D^2 < 0$.