

Hecke operators associated to 1-dimensional sheaves

Based on Diaconescu-Porta-S., arXiv:2207.08926, and
work in progress with Diaconescu-Porta-Y. Zhao

Disclaimer:

The background for this talk is based on Olivier's course. In particular, I will not recall the defs of

- ▶ COHA of coherent sheaves on a smooth proj. surface/ $\mathbb{C}$
- ▶ (2-sided) Hecke patterns

Attention: The results that I will present will be at the level of

$G_*(-) :=$ Grothendieck group of coh. sheaves

instead of Borel-Moore homology.

Main result

Theorem (Diaconescu-Porta-S.-Y. Zhao)

Let

- ▶ $C =$ elliptic curve/ $\mathbb{C}$
- ▶ $N =$ line bundle on C , $\deg(N) = -d < 0$

Set $S := \mathbb{P}(O_C \oplus N)$, let $D_\infty \subset S$ be the curve with normal bundle N^{-1} .

Then, $\exists$ a moduli space $\mathcal{M}$ of D_∞ -framed vector bundles F on S , which satisfy a **Ginzburg-Kapranov-Vasserot property**, for which $\exists$ operators

$$\mu^\pm(z) : G_0(\mathcal{M}) \longrightarrow G_0(\mathcal{M} \times \mathbb{C})\{z\}$$

$$h^\pm(z, w) : G_0(\mathcal{M}) \longrightarrow G_0(\mathcal{M} \times \mathbb{C})\{z, w\}$$

satisfying the following equalities :

$$1. \quad [\mu^+(z), \mu^-(w)] = \Lambda(-\mathcal{G}_{3,1}^\vee w z^{-1}) \Big|_{z \sim 0-\infty} (h^+(z, w) - h^-(z, w))$$

(Here, $f(z)|_0$ (resp. $f(z)|_\infty$) = Laurent exp. around 0 (resp. ∞))

$$2. \quad h^+(z, w) \mu^+(u) = \mu^+(u) h^+(z, w) \Lambda(-\mathcal{G}_{3,2}^\vee w u^\pm) \Big|_{w \sim 0} \Lambda(-\mathcal{G}_{2,1}^\vee z^- u) \Big|_{z \sim 0}$$

$$h^-(z, w) \mu^+(u) = \mu^+(u) h^-(z, w) \Lambda(-\mathcal{G}_{3,2}^\vee w u^\pm) \Big|_{w \sim \infty} \Lambda(-\mathcal{G}_{2,1}^\vee z^- u) \Big|_{z \sim \infty}$$

$$3. \quad \mu^+(z) \mu^+(w) \Lambda(-\mathcal{G}_{3,1}^\vee w z^-) = \mu^+(w) \mu^+(z) \Lambda(-\mathcal{G}_{3,2}^\vee z w^-)$$

$$\mu^-(w) \mu^-(z) \Lambda(-\mathcal{G}_{3,1}^\vee w z^-) = \mu^-(z) \mu^-(w) \Lambda(-\mathcal{G}_{3,2}^\vee z w^-)$$

(Here, $G_{i,j} := \mathbb{R} \text{Hom}(P^{(i)}, P^{(j)}) \in K_0(C \times C)$; P = Poincaré line bundle)

Furthermore, the operators $\mu^\pm(z)$ are related to operators of Hecke modifications along $C \subset S$ in K-theory.

Motivation: why is this relevant?

As you noticed from Olivier's talk, $\exists$ two approaches to define "geometrically" interesting algebras.

The 1st one is:

► Cohomological / K-theoretical Hall algebra of ab. category $\mathcal{A}$

Pro:

it is an "intrinsic" approach: it depends only on the moduli stack of objects $\mathcal{X}_{\mathcal{A}}$.

Cons:

(i) They realize only a nilpotent half of an "interesting" algebra

(ii) The Cartan subalgebra must be defined ad hoc.

(iii) $\nexists$ "intrinsic" way to define the full "interesting algebra"

(If the COHA/KHA extended by the Cartan subalgebra is a (top.) bialgebra $\leadsto$ consider the Drinfeld double)

The 2nd one is:

► Nakajima-Negut operators

Pro:

One can define the whole "interesting algebra"

Con:

One needs to find a moduli stack/space $\mathcal{M}$ which is a Hecke pattern for $\mathcal{E}_A$

$\leadsto$ "interesting algebra" = subalgebra of
$$\begin{cases} \text{End}(H_*^{\text{BM}}(\mathcal{M})) \\ \text{End}(G_0(\mathcal{M})) \end{cases}$$

Examples

$\mathcal{A}$ = category 0-dimensional sheaves on a smooth qproj. surface $S/\mathbb{C}$ $\leadsto$ already seen in Olivier's course

$\mathcal{M} = \text{Hilb}(S) = \text{Hilbert scheme of pts on } S$

$\mathcal{A}$ = category of properly supported sheaves on S

$\implies$ Kapranov-Vasserot: $\exists$ COHA/KHA of $\mathcal{A}$

$\mathcal{A}$ = category of properly supported sheaves on S , set-theoretically supported on a fixed closed subscheme $D \subset S$

$\implies$ Diaconescu-Porta-S.-Schiffmann-Vasserot:

1. $\exists$ COHA/KHA of $\mathcal{A}$ (it depends only on $\hat{S}_D$)
2. $S \xrightarrow{\pi} \mathbb{C}^2/\Gamma$ minimal resolution of ADE singularity.

$$D = \pi^{-1}(0) \hookrightarrow S, \quad D_{\text{red}} = D_1 \cup \dots \cup D_e, \quad D_i \cong \mathbb{P}^1$$

Theorem (Diaconescu-Porta-S.-Schiffmann-Vasserot)

For this choice of (S, D) , the corresponding COHA^{equiv} is generated (under the action of tautological classes) by the fundamental classes of

- (1) the stacks of 0-dimensional sheaves on S supported at a single point of D_i for $i=1, \dots, e$;

(2) The stacks of 1-dimensional sheaves scheme-theoretically supported on D_k , whose K-theory class is $[i_* \mathcal{O}_{D_k}(m)]$ for $k=1,2,\dots,e$ and $m \in \mathbb{Z}$.

Since (1) (and its double) can be explicitly described (as Olivier has already explained), this discussion motivates:

Given $D = \text{chain of smooth curves} \hookrightarrow S$.

Question:

Are we able to describe the COHA/KHA of sheaves $\mathcal{E}$ on S such that

- $\mathcal{F}$ is scheme-theoretically supported on D_k
- The K-theory class of $\mathcal{F} = [i_* \mathcal{L}_k]$, where $\mathcal{L}_k$ is a line bundle on D_k , for $k=1,\dots,e$?

(= "half" of algebra of Hecke modifications along D)

Answer: The "main result" should give an answer when $D = \text{elliptic curve} \subset S$.

Ops, commutator, and Cartan rel.s

S = smooth projective surface/ $\mathbb{C}$

Fix a torsion pair (Tor, TF) of ab. category $\text{Coh}(S)$, i.e.,

- ▶ $\text{Hom}(T, F) = 0 \quad \forall T \in \text{Tor}, F \in \text{TF};$
- ▶ $\forall E \exists 0 \longrightarrow \underset{\text{Tor}}{T} \longrightarrow E \longrightarrow \underset{\text{TF}}{F} \longrightarrow 0$

such that

- ▶ Tor is a Serre subcategory, and
- ▶ the moduli stacks $\text{IR}\underline{\text{Coh}}_{\text{Tor}}(S)$ and $\text{IR}\underline{\text{Coh}}_{\text{TF}}(S)$ are open in $\text{IR}\underline{\text{Coh}}(S)$.

Examples

▶ $\text{Tor} = \{E \in \text{Coh}(S) : \dim(\text{supp}(E)) = 0\}$

$\text{TF} = \{F \in \text{Coh}(S) : F \text{ pure in dimension zero}\}$

▶ $\text{Tor} = \{E \in \text{Coh}(S) : \dim(\text{supp}(E)) \leq 1\}$

$\text{TF} = \{F \in \text{Coh}(S) : F \text{ torsion free}\}$

} appeared in
Olivier's course

Fix Artin derived stacks

$$\mathfrak{X} \subset \mathrm{RCoh}_{\mathrm{Tor}}(S) \quad \text{and} \quad \mathfrak{M} \subset \mathrm{RCoh}_{\mathrm{TF}}(S)$$

which "play the role"

$$\mathfrak{X} \longleftrightarrow \mathrm{RCoh}_S(S) \quad \text{and} \quad \mathfrak{M} \longleftrightarrow \underline{\mathrm{Hilb}}(S)$$

$\Rightarrow$ Goal: define operators ass. to $\mathfrak{X}$ acting on $G_*(\mathfrak{M})$

Following the analogy $\mathfrak{X} \leftrightarrow \mathrm{RCoh}_S(S)$, $\mathfrak{M} \leftrightarrow \underline{\mathrm{Hilb}}(S)$ we impose:

Assumptions I

1. $\mathfrak{M}$ is a (2-sided) Hecke pattern for $\mathfrak{X}$
2. $\mathfrak{X}$ is quasi-compact
3. $\mathfrak{X} \simeq X \times \mathrm{BG}_m$, where X = quasi-smooth proper derived algebraic space/ $\mathbb{C}$

Set

$\mathbb{R}\underline{\text{Coh}}^{\text{ext}} :=$ derived moduli stack of s.e.s. $0 \rightarrow F_1 \rightarrow F_2 \rightarrow F_3 \rightarrow 0$ such that $F_1, F_2 \in \mathcal{M}$ and $F_3 \in \mathcal{X}$.

$\text{ev}_i : \text{s.e.s.} \rightarrow F_i$ for $i=1,2,3$, and $\text{ev}_3^{\text{tw}} := p \circ \text{ev}_3$, where $p: \mathcal{X} \rightarrow \mathcal{X}$.

Lemma

$$1. \quad \begin{array}{ccc} \mathbb{R}\underline{\text{Coh}}^{\text{ext}} & \xrightarrow{\sim} & \text{Spec Sym}(E_{\mathcal{M}, \mathcal{X}[-1]}) \setminus \{0\} \\ \text{ev}_2 \times \text{ev}_3 \searrow & \wr & \swarrow \\ & \mathcal{M} \times \mathcal{X} & \end{array}$$

$$\begin{array}{ccc} \mathbb{R}\underline{\text{Coh}}^{\text{ext}} & \xrightarrow{\sim} & \mathbb{P}(E_{\mathcal{M}, \mathcal{X}[-1]}) \\ \text{ev}_2 \times \text{ev}_3^{\text{tw}} \searrow & \wr & \swarrow \\ & \mathcal{M} \times \mathcal{X} & \end{array}$$

where $E_{\mathcal{M}, \mathcal{X}[-1]} := (\mathbb{R}\text{Hom}(\mathbb{Z}_{\mathcal{X}}, \mathbb{Z}_{\mathcal{M}}))^{\vee} \in \text{Perf}(\mathcal{M} \times \mathcal{X})$

$$2. \quad \begin{array}{ccc} \mathbb{R}\underline{\text{Coh}}^{\text{ext}} & \xrightarrow{\sim} & \text{Spec Sym}(E_{\mathcal{X}, \mathcal{M}}) \setminus \{0\} \\ \text{ev}_3 \times \text{ev}_1 \searrow & \wr & \swarrow \\ & \mathcal{X} \times \mathcal{M} & \end{array}$$

$$\begin{array}{ccc} \mathbb{R}\text{Coh}^{\text{ext}} & \xrightarrow{\sim} & \mathbb{P}(E_{\mathcal{X}, \mathcal{M}}) \\ \text{ev}_3^{\text{tw}} \times \text{ev}_1 & \searrow \wr & \swarrow \\ & \mathcal{X} \times \mathcal{M} & \end{array}$$

where $E_{\mathcal{X}, \mathcal{M}} := (\mathbb{R}\text{Hom}(\mathcal{F}_{\mathcal{X}}, \mathcal{F}_{\mathcal{M}})[1])^\vee \in \text{Perf}(\mathcal{X} \times \mathcal{M})$

(Here, $\mathcal{F}_{\mathcal{X}}, \mathcal{F}_{\mathcal{M}}$ are the univ. objects of $\mathcal{X}, \mathcal{M}$, resp.)

Next step:

Define ops by

pushforward
w.r.t. proper maps

• pullback w.r.t.
quasi-smooth maps

Important: All the complexes $E_{\bullet}$ have amplitude $[0, 1]$

Q. Jiang

$\implies \text{ev}_2 \times \text{ev}_3^{\text{tw}}, \text{ev}_3^{\text{tw}} \times \text{ev}_1$ are quasi-smooth and proper
and ev_2, ev_1 are quasi-smooth and proper

$\implies \exists$ pullbacks and pushforwards w.r.t. these maps

Definition (Nilpotent ops associated to X)

Fix $d \in \mathbb{Z}$.

The d -th twisted operator:

$$e_d^{\text{tw}} := (ev_2)_* \left((ev_3^{\text{tw}} \times ev_1)^* (-) \cdot \mathcal{L}^{\otimes d} \right) : G_o(X) \otimes G_o(\mathcal{M}) \longrightarrow G_o(\mathcal{M})$$

$\mathcal{L}^{\otimes d} = \mathcal{O}_{\text{IP}(E_{X,\mathcal{M}})}(1)$

The d -th Nakajima-Negut's operator:

$$\mu_d^+ := (ev_2 \times ev_3^{\text{tw}})_* \left(ev_1^* (-) \cdot \mathcal{L}^{\otimes d} \right) : G_o(\mathcal{M}) \longrightarrow G_o(\mathcal{M} \times X)$$

By changing the roles of $ev_1 \longleftrightarrow ev_2$, we also define

the twisted Hall op f_d^{tw} and the N-N's op. μ_d^-

Attention $\triangle$: we want to define also ops associated to $\mathcal{X}$

Note that $ev_2 \times ev_3, ev_3 \times ev_1$ are quasi-smooth

$\implies \exists$ pullbacks w.r.t. these maps

Def. (Nilpotent ops associated to $\mathcal{X}$)
Hall operator:

$$e := (ev_2)_* \circ (ev_3 \times ev_1)^* : G_0(\mathcal{X}) \otimes G_0(\mathcal{M}) \longrightarrow G_0(\mathcal{M})$$

By changing the roles of $ev_1 \longleftrightarrow ev_2$, we also define f .

Attention $\triangle$: we can define ops associated to the classical truncations ${}^c\mathcal{M}$ and ${}^c\mathcal{X}$ as well

Remark

For ${}^c\mathcal{X} = \text{Coh}_S(S) \simeq S \times BG_m$, one recovers the ops discussed by Olivier.

Set

$$\mu^+(z) := \sum_{d \in \mathbb{Z}} \mu_d^+ z^{-d} \quad \text{and} \quad \mu^-(z) := \sum_{d \in \mathbb{Z}} \mu_d^- z^{-d}$$

Notation: $f(z)|_0$ (resp. $f(z)|_\infty$) = Laurent exp. around 0 (resp. ∞)

Set

$$h^+(z, w) := \Lambda^*(-E_{m, X} w) \Big|_0 \Lambda^*(-E_{m, X[F]} \bar{z}') \Big|_0$$

$$h^-(z, w) := \Lambda^*(-E_{m, X} w) \Big|_\infty \Lambda^*(-E_{m, X[F]} \bar{z}') \Big|_\infty$$

Denote by $h^\pm(z, w): G_0(\mathcal{M}) \longrightarrow G_0(\mathcal{M} \times X)$ the corresponding operators of multiplication

Proposition

Assume that $\mathcal{M}$ is a separated derived algebraic space. Then

$$\left[\mu^+(z), \mu^-(w) \right] \Big|_{K_0(\mathcal{M})} = \sum \left(\frac{w}{z} \right) \Big|_{z \sim 0-\infty} (h^+(z, w) - h^-(z, w))$$

Here, $\zeta(x) = \Lambda^*(-\sigma_{\substack{2,1 \\ 1}}^\vee x) \in K_0(X_{\substack{1 \\ 1}} \times X_{\substack{2 \\ 2}})(x)$, $G_{\substack{2,1 \\ 1}} := \mathbb{R} \text{Hom}(\mathcal{F}_X^{(2)}, \mathcal{F}_X^{(1)})$

Remark

When $\mathcal{X} := \underline{\text{Coh}}_S(S)$, we recover Negut's lemma (stated by Olivier)

Proposition

We have

$$\begin{aligned} h^+(z, w) \mu^+(u) &= \mu^+(u) h^+(z, w) \Lambda \left(-\zeta_{\substack{2 \\ 1}}^v w \bar{u}^{\pm} \right) \Big|_{w \sim 0} \quad \Lambda \left(-\zeta_{\substack{2, 1}}^v \bar{z}^{\pm} u \right) \Big|_{z \sim 0} \\ h^-(z, w) \mu^+(u) &= \mu^+(u) h^-(z, w) \Lambda \left(-\zeta_{\substack{2 \\ 1}}^v w \bar{u}^{\pm} \right) \Big|_{w \sim \infty} \quad \Lambda \left(-\zeta_{\substack{2, 1}}^v \bar{z}^{\pm} u \right) \Big|_{z \sim \infty} \end{aligned}$$

Similar rels hold for $h^{\pm}(z, w)$ and $\mu^{\pm}(u)$.

Attention

1. To drop the condition on $\mathcal{M}$, one needs a further extension of Negut's theory which is not available at the moment;
2. When ${}^d\mathcal{X} = \text{Coh}_S(S)$, Negut's framework is useful also to compute the rels for the $\mu^{\pm}(z)$'s.

In this generality, it is not possible to use this framework.

$\implies$ we shall use another approach

Quadratic rels and semistable KHA

Now, I will define $\mathcal{X}$ = quasi-compact s.t. $\mathcal{X} \approx X \times \mathrm{BG}_m$ and assume that $\exists \mathcal{M}$ = (2-sided) Hecke pattern for $\mathcal{X}$.

At the end of the talk, I will define $\mathcal{M}$ as well.

$S := \mathbb{P}(\mathcal{O}_C \oplus \mathcal{N})$, where

- C = smooth proj. curve/ $\mathbb{C}$
- $\deg(\mathcal{N}) = -d < 0$.

Let $D, D_\infty \subset S$ be the canonical sections $C \rightarrow S$ with normal bundles $\mathcal{N}$ and $\mathcal{N}''$, respectively.

Fix an ample class H on S .

Recall that the (H-)slope of a 1-dimensional sheaf $\mathcal{E}$ on S is

$$\mu_H(\mathcal{E}) := \frac{\chi(\mathcal{E})}{H \cdot c_1(\mathcal{E})}$$

$\Rightarrow \exists$ also $\mu_{H-\max}$ and $\mu_{H-\min}$ w.r.t. Harder-Narasimhan filtrs

Let $s, n \in \mathbb{Z}$ be coprime, with $s \geq 1$

$\mathcal{X}_D(s, n) :=$ derived moduli stack of μ -semistable sheaves $\mathcal{E}$ on S with $ch_1(\mathcal{E}) = s[D]$ and $\chi(\mathcal{E}) = n$.

Proposition

We have

$$\mathcal{X}_D(s, n) \simeq X_D(s, n) \times BG_m$$

with

$$X_D(s, n) := \operatorname{Spec}_{\mathcal{M}(D; s, n)} \operatorname{Sym}(\mathcal{O}_D^\vee[1])$$

where

• $\mathcal{M}(D; s, n) :=$ moduli space of slope-stable vector bundles on D of rank s and Euler characteristic n

• $\mathcal{O}_D := \mathbb{R}\operatorname{Hom}(\mathcal{F}, \mathcal{F} \otimes_{\mathcal{O}_D}^* \mathcal{N})[1]$

Example: $D = \mathbb{P}^1$, $D^2 = -d \leq -2 + T^* \times T^* \curvearrowright S$

Set $s=1, n=0$. Then

$$X_{\mathbb{P}^1}(1, 0) \simeq \operatorname{Spec} \operatorname{Sym}(H^0(D, i^* \omega_S)[1]) \text{ and } {}^d X_{\mathbb{P}^1}(1, 0) \simeq \operatorname{pt}$$

$\Rightarrow$ The **nilpotent** subalgebra $\langle e_n : n \in \mathbb{Z} \rangle$ is a subalgebra of the **shuffle algebra** $\bigoplus_{n \geq 0} \mathbb{C}(q, t)[z_1^{\pm}, \dots, z_n^{\pm}]^{\mathfrak{S}_n}$ with the product

$$f(z_1, \dots, z_n) * g(z_1, \dots, z_m) = \frac{1}{n!m!} \text{Sym} \left(f(z_1, \dots, z_n) g(z_{n+1}, \dots, z_{n+m}) \prod_{\substack{1 \leq l \leq n \\ n+1 \leq j \leq n+m}} \left(\frac{\prod_{k=0}^{d-2} (1 - q^{-1} t^{-k} z_l z_j^{-1})}{(1 - z_l z_j^{-1})} \right) \right)$$

Rmk: For $d=2$, this is the shuffle algebra related to $U_q^+(Lsl(2))$.

Example: $D = \text{elliptic curve}$, $D^2 < 0$
Set $s=1, n=0$. Then ${}^d X_D(1, 0) \simeq D$

We are in the same situation as before, where

$$\langle e_n(\alpha) : \alpha \in K_0(D) \rangle$$

is a subalg. of the **Shuffle algebra** $\bigoplus_{n \geq 0} (K_0(D))^{x_n} [z_1^{\pm}, \dots, z_n^{\pm}]^{\mathfrak{S}_n}$ with the product

$$f(z_1, \dots, z_n) * g(z_1, \dots, z_m) = \frac{1}{n!m!} \text{Sym} \left(f(z_1, \dots, z_n) g(z_{n+1}, \dots, z_{n+m}) \right)$$

$$\prod_{\substack{1 \leq i \leq n \\ n+1 \leq j \leq n+m}} \left(1 + [\mathcal{O}_{\Delta_{i,j}}] \frac{z_i \bar{z}_j^{-1}}{1 - z_i \bar{z}_j^{-1}} \cdot [\mathcal{O}(\Delta_i - \Delta_j) \otimes \mathcal{N}] \right)$$

Neguț's zeta function of $\mathcal{D}$

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$\wedge(-\mathcal{G}_{j,i} z_i \bar{z}_j^{-1})$ seen at the beginning

Here,

▶ $\Delta_{i,j} \subset \mathcal{D}^{x_n} = (i,j)$ -th diagonal

▶ $\Delta_i := \pi_i^{-1}(\Delta)$ w.r.t. $\pi_i: \mathcal{D}^{x_n} \times \mathcal{D} \longrightarrow \mathcal{D} \times \mathcal{D}$ = the projection to the $(i, n+1)$ -th fact.

Important: Shuffle algebras will help us computing quadratic, cubic, ..., rel.s

Let's finish by describing $\mathcal{M}$.

Ginzburg-Kapranov-Vasserot type vector bundles

Set $\alpha := n/s[D] \cdot H$

Def (GKV type vector bundles)

A torsion-free sheaf F on S is of **GKV α -type** if

► F is locally free, and

$$\mu_{H-\max}(i_* i^* F \otimes \mathcal{O}_S(D)) \leq \alpha \leq \mu_{H-\min}(i^* i_* F)$$

Set

$\mathcal{M}_\alpha^{\text{GKV, fr}}$ = moduli stack of GKV α -type sheaves F on S , which are trivial along D_∞ and with a fixed trivialization there.

Theorem

► $\mathcal{M}_\alpha^{\text{GKV, fr}}$ is a Hecke pattern for $\mathcal{X}_D(s, n)$

► $\exists$ Hall ops associated to $\mathcal{X}_D(s, n)$, twisted Hall ops and Nakajima-Negut's ops associated to $X_D(s, n)$.