

Geometry and Topology Seminar

2-dimensional Cohomological Hall algebras

## 1. Motivation

Let  $X$  be a smooth (quasi-)projective variety /  $\mathbb{C}$ .

The "simplest" moduli space of objects on  $X$  is:

$$\text{Sym}^n(X) := \overbrace{X \times \dots \times X}^{\text{n-th times}} / \text{G}_n - \text{symmetric group}$$

= Symmetric Product of  $X$

$$= \left\{ \begin{bmatrix} x_1, \dots, x_n \\ \sum_{i=1}^n x_i \end{bmatrix} : x_i \in X, i=1, \dots, n \right\}$$

= moduli space of non-ordered  $n$ -tuples of points of  $X$   
(it is a (quasi-)projective variety)

## Example

►  $n=1 \Rightarrow \text{Sym}^1(X) \simeq X$

►  $X = \text{curve} \Rightarrow \text{Sym}^n(X)$  is smooth

From now on,  $X = \text{surface } S$ .

►  $n=2 \Rightarrow \text{Sym}^2(S) = \{x+y \mid x, y \in S\} \supset \Delta = \{xx \mid x \in S\} = \text{diagonal}$

Attention!:  $\text{Sym}^2(S)$  is singular along  $\Delta$

In general,  $\text{Sym}^n(S)$  is singular along the locus of tuples that correspond to non-distinct points.

### Definition

Let  $V$  be a complex variety.

A resolution of singularities of  $V$  is a proper morphism  $\varphi: U \rightarrow V$  from a smooth variety such that  $U \setminus \varphi^{-1}(V_{\text{sing}}) \cong V \setminus V_{\text{sing}}$ .

Consider the resolution of singularities of  $\text{Sym}^n(S)$ :

$$\pi: \text{Hilb}^n(S) \longrightarrow \text{Sym}^n(S)$$

### Example

►  $n=1: \text{Hilb}^1(S) \cong \text{Sym}^1(S) \cong S$

►  $n=2: \text{Hilb}^2(S) \simeq \text{Blow}_{\Delta}(\text{Sym}^2(S)) \longrightarrow \text{Sym}^2(S)$   
 blow-up of  $\text{Sym}^2(S)$  along the diagonal

Important !:

1.  $\text{Hilb}^n(S)$  = smooth (quasi-) projective variety (of dimension  $2n$ )
2.  $\text{Hilb}^n(S)$  is also a moduli space:

$\text{Hilb}^n(S)$  = Hilbert scheme of  $n$ -points on  $S$

= moduli space parametrizing zero-dimensional subschemes  $Z \subset S$   
 such that  $\dim_{\mathbb{C}} H^0(Z, \mathcal{O}_Z) = n$

Example ( $S = \mathbb{C}^2$ )

$$\text{Hilb}^n(\mathbb{C}^2) \simeq \left\{ Z \subset \mathbb{C}^2 : \dim_{\mathbb{C}} H^0(Z, \mathcal{O}_Z) = n \right\}$$

$$= \left\{ I \subset \mathbb{C}[x, y] \text{ ideal} : \dim_{\mathbb{C}} \mathbb{C}[x, y]/I = n \right\}$$

## Example ( $n=2$ revisited)

Let  $x, y \in S$ ,  $x \neq y$ . Then

$$Z_{x,y} := \{x, y\} \in \text{Hilb}^2(S) \text{ and } \pi(Z) = x+y \in \text{Sym}^2(S)$$

with the reduced closed structure

If  $y$  "collides" to  $x$ :  $x+y \rightsquigarrow 2x \in \Delta\text{-diagonal}$

$Z_{x,y} \rightsquigarrow Z_x$  is topologically  $\{x, y\}$ , but  $Z_x$  "encodes" the direction of collision

In an affine neighborhood of  $x \in S$ :

$$Z_x \longleftrightarrow \text{ideal } I \subset \mathbb{C}[x, y] \text{ such that } m^2 \subset I \subset m = (x, y)$$



$$I/m^2 = 1\text{-dimensional subspace } \subset m/m^2 \cong T_x^* \mathbb{C}^2$$

For simplicity, assume  $S$  projective.

Goal: Characterize the cohomology  $H^*(\text{Hilb}(S)) = H^*(\text{Hilb}(S); \mathbb{C})$

Problem A: For  $n \geq 3$ ,  $\text{Hilb}^n(S)$  has not an explicit description as the one seen for  $n=1, n=2$



Challenging to describe  $H^*(\text{Hilb}^n(S))$

Solution: Consider

$$\text{Hilb}(S) := \bigsqcup_{n \geq 0} \text{Hilb}^n(S)$$

$\implies H^*(\text{Hilb}(S)) \simeq \bigoplus_{n \geq 0} H^*(\text{Hilb}^n(S))$  "easy" to describe

Göttsche: computation of the Betti numbers in a generating series:

$$\sum_{n \geq 0} \sum_{i=0}^{4n} \underbrace{\dim_{\mathbb{C}} H^i(\text{Hilb}^n(S))}_{!!} t^{i-2n} q^n = \prod_{m=1}^{\infty} \prod_{j=0}^4 (1 - (-1)^j t^{j-2} q^m)^{-(-1)^j b_j(S)}$$

$b_i(\text{Hilb}^n(S)) = \text{Betti number}$

Important A:

1. LHS of Göttsche's formula encodes the graded dimension of  $H^*(\text{Hilb}(S))$  ( $H^*(\text{Hilb}(S))$  bigraded w.r.t. the number  $n$  of points and the coh. degree)

2. RHS = character (Poincaré series) of the Fock space representation  $\mathbb{V}$  of an infinite-dimensional Heisenberg algebra  $\text{Heis}_S$  depending on  $H^*(S) := H^*(S, \mathbb{C})$ :

$$\text{Heis}_S := H^*(S)[t, t^{-1}] \oplus \mathbb{C}c$$

super skew-symmetric:  $[x, y] = (-1)^{\deg(x) \cdot \deg(y)} [y, x]$

with Lie bracket:

$$[\alpha_n, \beta_m] = n \delta_{n+m, 0} \langle \alpha, \beta \rangle c , \quad [\alpha_n, c] = 0$$

with  $\alpha_n := \alpha t^n$ ,  $\alpha \in H^*(S)$ , and  $\langle \cdot, \cdot \rangle = \text{cup product pairing} = \int_X \alpha \cup \beta$

### Remark

Gottsche's formula

||

equality between dimensions of the two bigraded vector spaces  $H^*(\text{Hilb}(S))$  and  $\mathbb{V}$

### Theorem (Nakajima, Grojnowski)

$\exists$  an action of  $\text{Heis}_S$  on  $H^*(\text{Hilb}(S))$  such that

$$H^*(\text{Hilb}(S)) \simeq \mathbb{V} \quad \text{as representations of } \text{Heis}_S$$

## Corollary

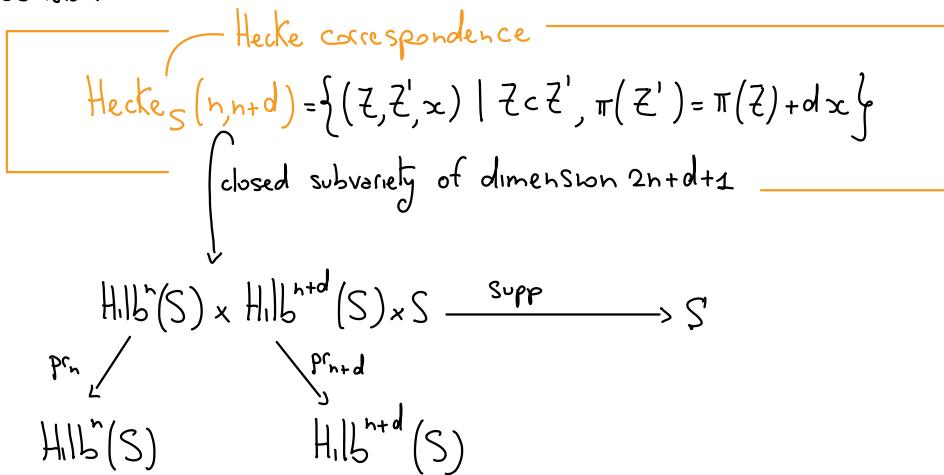
Elements of the form  ${}_{-n_1} \alpha \cdots {}_{-n_s} \alpha \cdot 1$  where  $\alpha, \dots, \alpha \in H^*(S)$ ,  $n_1, \dots, n_s \in \mathbb{Z}_{>0}$ ,

and  $1 \in H^*(\mathrm{Hilb}(S)) \cong \mathbb{C}$ , generate the whole  $H^*(\mathrm{Hilb}(S))$ .

Idea of the proof: geometric definition of the operators  $\alpha_d$ ,  $d \in \mathbb{Z}$ ,  $\alpha \in H^*(S)$

►  $\alpha_0 = 0 \quad \forall \alpha \in H^*(S)$

►  $d > 0$ . Consider



Set

$$\alpha_{-d}: H^*(\mathrm{Hilb}^n(S)) \longrightarrow H^*(\mathrm{Hilb}^{n+d}(S))$$

$$\alpha_{-d}(-) := P D^{-1} \left( (p_{r_{n+d}})_* \left( (p_{r_n})^*(-) \cup \text{Supp}^*(\alpha) \right) \cap [\text{Hecke}_S(n, n+d)] \right)$$

One defines similarly  $\alpha_d$ .  $\square$

### Natural questions:

1. Is it possible to generalize this result to other "theories"?

For example:

$K_0(-)$  = Grothendieck group of coherent sheaves

$D^b(Coh(-))$  = bounded derived category of coherent sheaves

2. Is it possible to generalize this result to other moduli spaces?

For example:

$Hilb^n(S) \rightsquigarrow \mathcal{M}^{st}(S; r, c_1, ch_2)$  = moduli space of (Gieseker-)stable sheaves on  $S$  of  $rK = r$ , first Chern class  $c_1$  and second Chern character  $ch_2$

$(\mathcal{M}^{st}(S; 1, 0, n) \simeq Hilb^n(S))$

First Answer: Readapt Nakajima-Grojnowski's construction

Baranovsky:  $\exists$  an action of  $\text{Heis}_S$  on

$$\bigoplus_n H^*(M_S^{st}(r, c_2, n))$$

given by operators  $\alpha_{\pm d}$  depending on  $\left[ \text{Hecke}_S(r, c_2, n, n+d) \right]$   
only  $c_2$  varies!

Problem !:  $\bigoplus_n H^*(M_S^{st}(r, c_2, n))$   $\not\subset$   $\mathbb{W}$ -Fock representation of  $\text{Heis}_S$

This means that  $\text{Heis}_S$  is "too" small to be used to span the whole  $(*)$

Second Answer: Use the whole "topology" of  $\text{Hecke}_S(n, n+d)$

1. Replace operators  $\alpha_{\pm d}$  depending on  $\left[ \text{Hecke}_S(n, n+d) \right]$  by operators depending on:

$$g \in H_*(\text{Hecke}_S(n, n+d)), \text{ or } g \in K_0(\text{Hecke}_S(n, n+d)), \text{ or } g \in D^b(Gh(\text{Hecke}_S(n, n+d)))$$

$$2. \text{Hecke}_S(n, n+d) \sim \text{Hecke}_S(r, r', c, c', ch_2, ch'_2)$$

Problem A:  $\text{Hecke}_S(n, n+d)$  and  $\text{Hecke}_S(r, r', c, c', ch_2, ch'_2)$  have an "ugly" geometry:  
for example:

$\text{Hecke}_S(n, n+d)$  is smooth  $\Leftrightarrow d=0, \pm 1$

Third Answer: We need to use the theory of cohomological Hall algebras

Let us explain it when  $S = \mathbb{C}^2$  and using again  $\text{Hilb}^r(S)$ :

$$\text{Hilb}^r(\mathbb{C}^2) \approx \left\{ I \subset \mathbb{C}[x, y] : \dim_{\mathbb{C}} \frac{\mathbb{C}[x, y]}{I} = r \right\}$$

$$\begin{aligned} (\text{quiver description}) &= \left\{ (A, B, v) : A, B \in \text{Mat}(n, \mathbb{C}), [A, B] = 0, \right. \\ &\quad \left. v \in \mathbb{C}^n \text{ s.t. } \mathbb{C}^n \text{ is generated by } A^k B^\ell v \right\} \end{aligned}$$

First Step: we "replace"  $\text{Hecke}(n, n+d)$  by a more "manageable" space:

$$\text{Hecke}_{\mathbb{C}^2}(n, n+d) = \left\{ (z, z', x) : z \in \mathbb{Z}, \pi(z') = \pi(z) + d x \right\}$$



$$\approx \left\{ (I_z, I_{z'}, x) : I_{z'} \subset I_z, \text{supp}(I_z / I_{z'}) = \{x\} \right\}$$

"space parametrizing quotients  $I_z/I_{z'}$ "  
 ||—when  $S = \mathbb{C}^2$

quotient stack  $\left[ \left\{ (A, B) \in \text{Mat}(d, \mathbb{C}) : [A, B] = 0 \right\} \right] /_{GL(d)}$   
 |  
 commuting variety  $\mathcal{C}_d$

Theorem

I will come back later

Schiffmann-Vasserot:  $\exists$  an associative algebra structure (à la Hall) on

$$\text{COHA}_{\mathbb{C}^2} := \bigoplus_d H_*^{BM} \left( [\mathcal{C}_d / GL(d)] \right) \simeq \bigoplus_d H_*^{GL(d)} (\mathcal{C}_d)$$

Kapranov-Vasserot:  $\text{COHA}_{\mathbb{C}^2} \simeq \underset{\text{SI}}{\text{Sym}} \left( \hat{\mathfrak{g}}^+ \otimes \mathbb{C}[q, z] \right)$

a positive part of the universal enveloping algebra  $U(\hat{\mathfrak{g}}^+(z)[z])$   
 $\mathbb{C}[q, q^{-1}]$

Schiffmann-Vasserot:  $\exists$  an action of  $\text{COHA}_{\mathbb{C}^2}$  on  $H_*^{BM}(\text{Hilb}(\mathbb{C}^2))$ , which induces  
 an action of

$$U(\hat{\mathfrak{g}}^+(z)[z]) \curvearrowright H_*^{BM}(\text{Hilb}(\mathbb{C}^2)) \simeq H^*(\text{Hilb}(\mathbb{C}^2))$$

### Remark

1.  $\exists$  an equivariant version:  $\begin{cases} \mathbb{C}^* \times \mathbb{C}^* \curvearrowright \mathbb{C}^2 \implies \mathbb{C}^* \times \mathbb{C}^* \curvearrowright \text{Hilb}(\mathbb{C}^2) \\ \exists \mathbb{C}^* \times \mathbb{C}^* \curvearrowright \mathcal{C}_d \end{cases}$



$\text{COHA}_{\mathbb{C}^2} \rightsquigarrow \text{COHA}_{\mathbb{C}^2}^{\text{equiv}}$

$U(\hat{gl}(z)[z]) \rightsquigarrow \text{Maulik-Okounkov affine Yangian } Y(\hat{gl}(z))$

2.  $\exists$  a higher-rank version:

$\text{Hilb}(\mathbb{C}^2) \rightsquigarrow \mathcal{M}_{\mathbb{C}^2}(r) = \text{"moduli space of rank } r \text{ torsion-free sheaves on } \mathbb{C}^2"$   
 $(= \text{moduli space of rank } r \text{ framed sheaves on } \mathbb{P}_{\mathbb{C}}^2)$

Important  $\Delta$ :  $\exists Y(\hat{gl}(z)) \curvearrowright H_*^{\text{equiv.}}(\mathcal{M}(r))$  induces  $\mathcal{W}(gl(r)) \curvearrowright H_*^{\text{equiv.}}(\mathcal{M}(r))$   
affine "W-algebra of  $gl(r)$

3.  $\exists K_0$ -version of these results

Now, we are ready to go more into the theory of cohomological Hall algebras

### Heuristics about COHAs

- $A = (\text{nice}) \text{ abelian category}$
- $\mathcal{M}_A = \text{moduli stack of objects of } A \text{ (ex: } \bigsqcup_d [\mathbb{C}^d / GL(d)] \text{)}$
- $\mathcal{M}_A^{\text{ext}} = \text{moduli stack of extensions of objects of } A$

We have a "convolution diagram"  $\xrightarrow{\text{def}} \text{Hall}$ :

$$\begin{array}{ccc} & \mathcal{M}_A^{\text{ext}} & \\ ev_3 \times ev_1 = p & \swarrow & \searrow q = ev_2 \\ \mathcal{M}_A \times \mathcal{M}_A & & \mathcal{M}_A \end{array}$$

where:

- $p: (0 \rightarrow \mathcal{E}_2 \rightarrow \mathcal{E} \rightarrow \mathcal{E}_1 \rightarrow 0) \mapsto (\mathcal{E}_1, \mathcal{E}_2)$
- $q: (0 \rightarrow \mathcal{E}_2 \rightarrow \mathcal{E} \rightarrow \mathcal{E}_1 \rightarrow 0) \mapsto \mathcal{E}$

Fix a homology theory with "nice" functorial properties:  $A_*(-)$

### Examples:

- $A_*(-) = H_*^{BM}(-)$  = Borel-Moore homology
- $A_*(-) = K_0(-)$  = Grothendieck group of coherent sheaves
- $A_*(-)$  = oriented Borel-Moore homology theory )

We would like to define the *Cohomological Hall algebra* of  $A$ :

$\text{COHA}_A := \text{associative algebra } (A_*(M_A), m = \text{product})$  :

$$m: A_*(M_A) \otimes A_*(M_A) \xrightarrow{\boxtimes} A_*(M_A \times M_A) \xrightarrow{q_* \circ p^*} A_*(M_A)$$

⚠: This definition works only if  $\text{gl.dim.}(A) \leq 2$ , indeed:

- $q$  is proper representable  $\Rightarrow \exists q_* = \text{proper pushforward}$ ,  
but:
- If  $\text{gl.dim.}(A) = 1 \Rightarrow p$  is smooth  $\Rightarrow \exists p^* = \text{pull back}$
- If  $\text{gl.dim.}(A) = 2 \Rightarrow p^*$  has to be defined carefully

Remark: if  $\text{gl.dim.}(A) = 3$ , one has to use Kontsevich-Soibelman's theory of COHAs which I am not going to introduce today.

## 2-dim. COHAs of quivers

Note that  $\text{COHA}_{\mathbb{C}^2}$  = example of a COHA of quivers, indeed:

$$\left[ \mathfrak{t}_d / GL(d) \right] \simeq \underline{\text{Rep}} \left( \Pi_{\substack{\text{1-loop} \\ \text{quiver}}} , d \right)$$

preprojective algebra of 1-loop quiver  
)

For an arbitrary quiver  $Q$ :

right modules

- $A = \text{category of f.d. representations of } \Pi_Q$
- $M_A = \underline{\text{Rep}}(\Pi_Q)$

Theorem (Schiffmann-Vasserot, Yang-Zhao)

$\exists$  associative algebra structure (à la Hall) on

$$\text{COHA}_Q^{(\mathbb{C}^*)} = A_*^{(\mathbb{C}^*)} \left( \underline{\text{Rep}}(\Pi_Q) \right)$$

Conjecture  $\text{COHA}_Q^{\mathbb{C}^*} \simeq (\text{positive part of}) \text{ Maulik-Okounkov affine Yangian of } Q$

## 2-dimensional COHAs of surfaces

Let  $S$  be a smooth (quasi-)projective surface /  $\mathbb{C}$

►  $A_{S, \leq d} =$  category of (properly supported) coherent sheaves on  $S$  of dimension  $\leq d$ .

Theorem ( $A_{S, \leq d}$  - Kapranov-Vasserot-Yu Zhao for  $d=0$ )

Set  $\underline{\text{Coh}}_{\leq d}(S) = M_{A_{S, \leq d}}$ .

$\exists$  an associative algebra structure à la Hall on

$$\text{COHA}_{S, \leq d} := A_*(\underline{\text{Coh}}_{\leq d}(S))$$

Remark  $\text{COHA}_{S, \leq 0} \simeq \text{Sym}\left(H_x^{\text{BM}}(S) \otimes V\right)$   
↪ a certain  $\mathfrak{g}$ -dim. vector space

## 2-dimensional COHAs of curves

Let  $X$  be a smooth projective curve/ $\mathbb{C}$ ,  $\omega_X \cong \Omega^1_X$  sheaf of 1-forms on  $X$

►  $A_X^{\text{Dol}}$  = category of Higgs sheaves on  $X$ :

$$(\mathcal{F}, \phi: \mathcal{F} \longrightarrow \mathcal{F} \otimes \omega_X) - \phi = \text{morphism of } \mathcal{O}_X\text{-modules}$$

►  $A_X^{\text{dR}}$  = category of vector bundles with flat connections on  $X$ :

$$(\mathcal{E}, \nabla: \mathcal{E} \longrightarrow \mathcal{E} \otimes \omega_X) - \nabla = \text{morphism of } \mathcal{O}_X\text{-modules}$$

+ Leibniz rule +  $\nabla^2 = 0$

►  $A_X^B$  = category of f.d. representations of  $\pi_1(X)$ :

$$\rho: \pi_1(X) \longrightarrow GL(n) \quad \text{for some } n$$

Theorem (Dol): S.-Schiffmann, Minets for  $rK=0$ ; dR, B: Porta-S.)

Set  $\underline{\text{Coh}}^{\text{Dol}}(X) = M_{A_X^{\text{Dol}}}$ ,  $\underline{\text{Coh}}^{\text{dR}}(X) = M_{A_X^{\text{dR}}}$ , and  $\underline{\text{Coh}}^B(X) = M_{A_X^B}$ .

$\exists$  an associative algebra structure à la Hall on

$$\text{COHA}_X^{\Delta\text{I}, (\mathbb{C}^*)} := A_*^{(\mathbb{C}^*)} (\underline{\text{Coh}}^{\Delta\text{I}}(X))$$

$$\text{COHA}_X^{\text{dR}} := A_* (\underline{\text{Coh}}^{\text{dR}}(X))$$

$$\text{COHA}_X^B := A_* (\underline{\text{Coh}}^B(X))$$

Important !: by using derived algebraic geometry we can also categorify these algebras

Theorem (Porta-S.)

$\exists$  a derived enhancement  $\text{IR}\underline{\text{Coh}}_{\leq d}(S)$  of  $\underline{\text{Coh}}_{\leq d}(S)$  for which  $\exists$  a monoidal structure  $\circ$  is Hall on

$$D^b(\text{Gh}(\text{IR}\underline{\text{Coh}}_{\leq d}(S))) - \text{categorified COHA}$$

which after passing to  $K_0$  induces the algebra structure on

$$K_0(\text{IR}\underline{\text{Coh}}_{\leq d}(S)) \simeq K_0(\underline{\text{Coh}}_{\leq d}(S))$$

Similar statement holds for  $\underline{\text{Coh}}^{\Delta\text{I}}(X)$ ,  $\underline{\text{Coh}}^{\text{dR}}(X)$ , and  $\underline{\text{Coh}}^B(X)$

Attention ! :  $D^b(Coh(\underline{RGh}_{sd}(S))) \neq D^b(Coh(\underline{Gh}_{sd}(S)))$

$\downarrow$

$\Rightarrow \nexists$  available machinery to construct a monoidal structure on  $(*)$

### Remark

1.  $\exists$  a version of the Riemann-Hilbert correspondence for these categorified COHAs :  $\exists$  an equivalence

$$D^b(A_{\mathrm{Coh}}(\underline{RA_{\mathrm{Coh}}(X_{dR})})) \simeq D^b(A_{\mathrm{Coh}}(\underline{RA_{\mathrm{Coh}}(X_B)}))$$

compatible with the Hall monoidal structures.

2.  $\exists$  a version of the non-abelian Hodge correspondence for these categorified COHAs

Question : What kind of algebras are these COHAs ?

1.  $X = \mathbb{P}^1$  :  $\boxed{\text{COHA}_{\mathbb{P}^1}^{\mathfrak{sl}} \simeq U^+(\hat{\mathfrak{sl}}[z][z])}$  (work in progress with H. Diaconescu-Porta-S-Schiffmann-Vasserot)

## 2. Resolution of Kleinian singularities

- $G \subset \mathrm{SL}(2, \mathbb{C})$  finite group  $\longleftrightarrow Q = \text{type ADE Dynkin diagram}$
- $\pi: Y \longrightarrow \mathbb{C}^2/G$  minimal resolution of singularities

$$\mathrm{COHA}_{\leq 1}(Y) \cong U(\hat{\mathfrak{g}}_Q[z])$$

(work in progress with Diaconescu-Porta-Schiffmann-Vasserot)